



*The Audit Voice*  
Co-Presidents' Message



Dear Members & Friends,

The holidays have come and gone, and our chapter year continues to roll along with some exciting programming coming your way in the new year!

A very special thank you to Laurence Talley and Jim Hejka from Jefferson Wells (Automation Trends and Managing the Corresponding Risks) and Kyle Moery, Ian Burnett, and Shaun Anderson from RGP (Leading Practices for Managing Supplier/Vendor Risks) for presenting at our December meeting. Thank you to all the speakers and everyone who joined us online!

Coming up in February, we are excited to continue the tradition of holding our annual Fraud Day event. This year's keynote speaker on February 12, 2024, will be Chris Tarbell – a former FBI special agent Christopher Tarbell is one of the most successful cyber security law enforcement officials of all time—so successful, in fact, that books and movies are being made about his legendary career. Dubbed “the Eliot Ness of online crime” by Newsweek, he is the man responsible for infiltrating the hacker group Anonymous and taking down the notorious dark web drug trafficking site Silk Road, called “the most sophisticated and extensive criminal marketplace on the Internet.” He led the tracking and arrest of two of the most infamous figures in cyberspace: Sabu, who was at one point the most influential hacker in the world, and Dread Pirate Roberts, who was later convicted for his involvement with Silk Road. Joining Chris will be presenters from the United States Secret Service, Grant Thornton, the Federal Bureau of Investigation, Office of Inspector General, CareSource – Special Investigation Unit, and the Ohio Attorney General's Office. The agenda will include current fraud trends and schemes, anti-fraud management, and local and national fraud case studies.

Looking ahead, we are hosting, in conjunction with the Ohio Society of CPAs and Financial Executives International, an in-person meeting, networking, and entertainment event...all rolled into one...for the month of March. It will take place Monday afternoon, March 5 at Rocket Mortgage FieldHouse, offer four CPE credits, and will be followed by dinner and our very own Cleveland Cavaliers playing the Boston Celtics. Tip-off is at 7:00 p.m. The meeting, networking event, and game will all take place under one registration.

Looking forward to May, our annual CAE roundtable will be held in conjunction with the Kent State University – Meonske Professional Development Conference. There will be opportunities to earn 4 to 8 CPEs at the conference.

Thank you for your continued support of the Northeast Ohio Chapter. We can't wait to see you again soon!

Best regards,  
[Melissa Banfield](#) and [Nick Jorz](#), Co-Presidents

## Events Calendar

Date	Time	Event	Location	CPE
2/12/24	8:00am – 4:30pm	Fraud Day	Embassy and Virtual Option	8
March 2024	TBD	IIA/OSCPA/FEI Joint Meeting	Rocket Mortgage Fieldhouse	4
4/22/24	12:45pm – 4:30pm	April Meeting	Embassy Suites	4
5/16/24	TBD	CAE Roundtable	Kent State University – Meonske Professional Development Conference	3
June 2024	8:00am – 4:30pm	New Auditor Training	Northeast Ohio Regional Sewer District	8
6/28/24	Registration begins at 7:30am	Annual Golf Outing	Coppertop Golf Club	--

**Note:** If you have an audit, ethics, business improvement or human resources topic and are interested in presenting at a future NEO IIA event, please contact [Marvin Tarry](#) or [Chasey Rotondo](#).

## Chapter Achievement Program (CAP)

Members can contribute to the chapter’s success through completion of the following activities:

- Conducting unpaid speaking engagements related to Internal Audit topics – including presenting at local universities to inspire the next generation of internal auditors!
- Hosting an Internal Audit event at work (i.e., lunch and learn)
- Publishing articles in professional journals, including the Internal Auditor magazine
- Obtaining an IIA certification (i.e., CIA, CRMA, etc.)

Should you accomplish/complete any of the above items, please provide this information to [Melissa Banfield](#) for inclusion in the CAP Report.

**Monday, February 12<sup>th</sup>**  
**NEO IIA Fraud Day 2024**

**2024 Fraud Day: 8:00 a.m. – 4:30 p.m. (8 CPEs)**  
**IIA/ACFE Members: \$125/In-person (Lunch Included) or Virtual**  
**Non-Members: \$135/In-person (Lunch Included) or Virtual**

**Register Online at:**  
**2024 NEO IIA Fraud Day**

Northeast Ohio IIA is excited to announce our return to Embassy Suites for Fraud Day! The full day session will be held in-person; however, a virtual option will be available through our hybrid programming. We are very proud to announce an exciting lineup of fraud-focused programming this year, which will include current fraud trends and schemes, anti-fraud management, local and national fraud case studies, and the story behind the takedown of the Deep Web's Infamous Silk Road - "The most sophisticated and extensive criminal marketplace on the internet".

Our presenters this year include:

- Brandon Winno – United States Secret Service
- Gene Cahill & Anne Layne – Grant Thornton
- Krista Toole – FBI Special Agent
- Jeremy Buening – U.S. Department of Health and Human Services – OIG
- Ann-Marie Scalfani – CareSource – Special Investigation Unit
- Chris Tarbell – Former FBI Special Agent who is considered “the Eliot Ness of Online Crime”
- April Wehrle & Michael Kaizar – Ohio Attorney General’s Office
- Cuyahoga Department of Consumer Affairs

We look forward to seeing you there bright and early on February 12<sup>th</sup>!

**Fraud Day will be held in-person at the Embassy Suites in Independence (5800 Rockside Woods Blvd N, Independence, OH 44131) and virtually via the Zoom platform. For those who select the virtual option, a Zoom meeting link will be sent shortly after registration. There will also be an email sent a few days prior to the event with the Zoom link. Polling questions are required for CPE credit. Polling questions may not work with tablets or cellphones; please use a computer to ensure you can answer polling questions. If you have any questions or technical issues the day of the meeting, please email [Marvin Tarry](#) or [Nick Jorz](#).**

## Mark Your Calendars!

**Monday, March 5<sup>th</sup>**

Northeast Ohio IIA is happy to announce that we are hosting, in conjunction with the Ohio Society of CPA's and Financial Executives International, an in-person meeting, dinner/networking, and entertainment event. It will take place Monday afternoon, March 5 at Rocket Mortgage FieldHouse, offer four CPE credits, and will be followed by dinner and our very own Cleveland Cavaliers playing the Boston Celtics. Tip-off is at 7:00 p.m. The meeting, networking event, and game will all take place under one registration.

**The NEOIIA/OSCPA/FEI Joint Meeting will be held in-person at Rocket Mortgage Fieldhouse (1 Center Court, Cleveland, OH 44115).**

**The meeting will be followed by dinner/networking and include a ticket to see the Cleveland Cavaliers vs. Boston Celtics. Tip-off for the game is scheduled for 7:00 PM EST.**



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**Registration will open in the coming weeks!**



# ISACA®

## Northeast Ohio Chapter

**Virtual Session only**

**Date: Thursday, January 18, 2024**

**Time: 12:00 PM – 2:00 PM**

**Topic: Emerging Digital Trust Beyond Cybersecurity and Privacy**

**Presented by: Mark Thomas**

Learning Objectives:

- Define digital trust.
- Explain the value and impact of digital trust on various relationships.
- Describe the role of ISACA's domains in digital trust.
- Summarize the foundations of Digital Trust Ecosystem Framework.

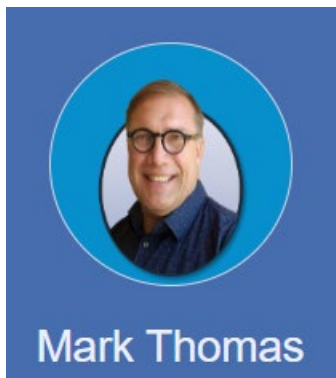
Background:

Digital trust is central to every digital interaction. In today's highly competitive and digital environment, people are more connected than ever before. The Internet has brought more opportunity to exchange ideas and information within our neighborhoods and across the globe as well as enable every connected person to communications, goods and services that have never been available in the past. Customers can collaboratively research, and purchase goods online and receive them the same day. Technology works in the background to support these interactions and transactions between individuals, enterprises, and external parties. This presentation breaks down the definition, value, and foundations of digital trust to help learners better understand how technology fully impacts their daily lives and the enterprises they support.

Cost: \$15 ISACA members / \$35 non-ISACA members

Registration Ends: Tuesday, January 16, 2024 @7:00 PM

Registration Link: <https://cvent.me/3NXyKD> [cvent.me]



Mark is an internationally known Governance, Risk and Compliance expert specializing in IT governance, information assurance, IT strategy and digital trust. With over 30 years of professional experience Mark has a wide array of industry experience including government, health care, finance and banking, manufacturing, and technology services. He has held roles spanning from CIO to IT consulting and is considered a thought leader in frameworks such as COBIT, NIST, ITIL and multiple ISO standards. Mark routinely speaks at US and international conferences and earned the ISACA John Kuyers award twice for Best Speaker/Conference contributor. Mark also holds the CGEIT, CRISC and CDPSE certifications and is a key contributor to the ISACA Digital Trust Ecosystem Framework.

# Employment Opportunities

The chapter's Employment Coordinator serves as a clearinghouse for members seeking positions and organizations seeking personnel by maintaining a current listing of local internal audit employment opportunities. All inquiries are confidential. If you are interested in viewing the most up-to-date opportunities list, visit our [Institute of Internal Auditors \(IIA\) - Northeast Ohio Chapter](#) page on LinkedIn.

Company	Opportunity
Erie Insurance	<a href="#">IT Internal Auditor II</a>
Farmers National Banc Corp	<a href="#">Sr. Internal Auditor</a>
Greater Cleveland Regional Transit Authority	<a href="#">Internal Audit Manager</a>
Third Federal Savings & Loan	<a href="#">Sr. Auditor II - Hybrid</a>

If you are an employer trying to fill a position, please forward the job description to [Patrick Brogan](#).

## Important Updates & News for Members

Ensure your [National IIA](#) profile is up-to-date, particularly your e-mail address.

Please **add the following** to your "Safe Senders" to avoid important communications from going into your junk folder:

National Communications	<a href="mailto:IIA-Global.Headquarters@iia-communications.org">IIA-Global.Headquarters@iia-communications.org</a>
Chapter Communications	<a href="mailto:Johanna.Davison@protiviti.com">Johanna.Davison@protiviti.com</a> <a href="mailto:Morgan.Lupica@protiviti.com">Morgan.Lupica@protiviti.com</a> <a href="mailto:Emma.Schneider@protiviti.com">Emma.Schneider@protiviti.com</a>
Registration Confirmations	<a href="mailto:chapter7@iia-chapters.org">chapter7@iia-chapters.org</a>
CPE Certificates	<a href="mailto:noreply@etouches.com">noreply@etouches.com</a>

### News from the IIA

Access the IIA website for important information related to [news releases](#), [certifications](#), [scholarships](#), and the IIA's [Learning Conferences](#) – including GAM, International Conference, GRC, Financial Services, and All-Star. Also make sure to take advantage of The IIA's Chapter Partnership program! New [online courses](#) are updated regularly.

### Join the Northeast Ohio LinkedIn Group

Request access to the group [here](#).

# Academic Relations News

Northeast Ohio IIA is proud to announce that the chapter will be sponsoring the 2024 Intercollegiate Ethics Case Competition to be held at Cleveland State University on Friday, February 23rd.

The competition features undergraduate and graduate-level accounting, finance, and information system majors from universities across Ohio competing to solve real-world ethical dilemmas impacting the auditing profession. Results will be shared in this newsletter following the event.



## IIA Quality Services

**IIA Quality Services helps validate and strengthen your internal audit activity and enhances your effectiveness, efficiency, and successful practice implementation.**

As a trusted source in the profession, we have helped hundreds of organizations in various industries and around the world by providing qualified audit professionals, a global benchmarking database, and a repository of successful practices. We assist CAEs in gaining support from the audit committee, enhance understanding of why an external assessment is necessary and valuable, and describe the different approaches.

IIA Quality Services can help move your Quality Assurance and Improvement Program (QAIP) to the next level with a range of services and expert practitioners.

Want to learn more? Please visit the IIA Quality Services web page for more information and a Request for Proposal link. [IIA Quality Services web page](#)

## GET INVOLVED!

Please reach out to any NEO IIA representative via email to get involved! The chart on the last page of this newsletter contains their e-mail addresses.

Committee	Objectives
<b>Professional Development</b>	Deliver programming to members that supports maintaining professional certifications and continuous learning designed to enhance internal audit professionals' value to stakeholders. This committee also handles all meeting arrangements, registrations, CPE, etc.
<b>Knowledge-Sharing</b>	Structure opportunities for members to connect, share knowledge, promote the internal audit profession, and advance thinking on matters of local importance.
<b>Leadership</b>	Create opportunities for members to enhance their leadership capabilities while providing effective chapter governance.
<b>Audit</b>	Act on behalf of the Board of Governors in fulfilling its oversight responsibilities for the financial reporting process, certain internal control processes, the independent audit/review process, and the chapter's process for filing IRS Form 990 and other relevant IRS information.
<b>Nominating</b>	Identify and submit to the Board and/or chapter members the formal nominations for all member-elected Board and officer positions, as required in chapter by-laws. It also identifies and appoints members to other officer and committee chair positions that do not require election by the chapter members on behalf of the Board of Governors.
<b>Strategic Planning</b>	Assess current and future chapter member needs and to recommend long-range objectives for the chapter to maximize chapter member benefits.
<b>Academic Relations</b>	Develop and support relationships through educational programs among practitioners, educators, students, educational institution administrators, and business/government communities, which enhance knowledge, skills, understanding and value of internal auditing as a profession in the marketplace.
<b>Technology</b>	Connect members through social media presence and maintain chapter website; Evaluate technology options as the chapter navigates the ever-changing climate.



# Officers, Committee Leaders, and Governors

## 2023-2024

Should anyone want to contact any of our Officers, Committee Leaders, or Chapter Governors, please do so via e-mail by clicking on a name listed below:

Officers	Title
<a href="#">Melissa Banfield</a>	Co-President
<a href="#">Nick Jorz</a>	Co-President
<a href="#">Jonathan Sanfilippo</a>	1 <sup>st</sup> Vice President
<a href="#">Brad DeBusk</a>	2 <sup>nd</sup> Vice President
<a href="#">Margo Cejer</a>	Treasurer
<a href="#">Stephy Gao</a>	Assistant Treasurer
<a href="#">Bill Allen</a>	Secretary

Leaders/Committee Chairs	Role
<a href="#">Scott Miller</a> & <a href="#">Dan Schrag</a>	Academic Relations Committee Co-Chairs
<a href="#">Ken Koncilja</a>	Audit Committee Chair
<a href="#">Melissa Banfield</a>	Chapter Achievement Program Lead
<a href="#">Jerry Mancinetti</a>	Chapter Administrator
<a href="#">Jonathan Sanfilippo</a>	Hospitality Coordinator
<a href="#">Melissa Banfield</a> & <a href="#">Nick Jorz</a>	Nominating Committee Co-Chairs
<a href="#">Marvin Tarry</a> & <a href="#">Chasey Rotondo</a>	Professional Development Committee Co-Chairs
<a href="#">Patrick Brogan</a> & <a href="#">Johanna Davison</a>	Knowledge Sharing Committee
<a href="#">Bill Quinn</a>	Specialty Centers Liaison
<a href="#">Scott Miller</a> & <a href="#">Laurence Talley</a>	Strategic Planning Committee Co-Chairs
<a href="#">Laurence Talley</a> & <a href="#">Andrew Scott</a>	Technology Committee

Board of Governors	
<a href="#">Christy Gardner</a>	<a href="#">Dan Schrag</a>
<a href="#">Ken Koncilja (A)</a>	<a href="#">Randal Slifer</a>
<a href="#">John Krueger</a>	<a href="#">Amanda Sterling (A)</a>
<a href="#">Scott Miller</a>	<a href="#">Laurence Talley</a>
<a href="#">Bill Mitchell</a>	<a href="#">Ed Vargo (A)</a>
<a href="#">Richard Molina</a>	<a href="#">David Golias</a>
<a href="#">Bill Quinn</a>	<a href="#">Patrick Brogan</a>
<a href="#">Joseph Nejman</a>	

Note: "(A)" designates a member of the Audit Committee.