



President's Message



Dear Philadelphia Chapter Member,

I can't believe my term as President is ending soon; it's true what they say, time flies when you're having fun. Representing the Philly IIA chapter has been a joy, and I know I'll cherish the memories of my time as President. Thank you all for this opportunity, and let's finish the chapter year on a strong note!

We're currently finalizing plans for the 2024 Spring Summit with the theme "Empowering Tomorrow's Auditors," an important topic in my opinion. It's essential to equip our future auditors with the necessary tools to make informed decisions and take ownership of their work. I'm excited for the future auditors and leaders attending our Spring Summit, where they'll have the chance to learn from seasoned Internal Audit leaders. We'll also explore the latest trends in Internal Audit, including the potential of Generative AI to empower Internal Auditors and enhance value-added activities for the business. AI and analytic tools will enable us to provide more insightful reporting and decision-making.

The Diversity and Inclusion Committee is looking for volunteers to enhance the Chapter's diversity profile and to maximize engagement of diverse talents, opinions, experiences, and backgrounds in Chapter activities. I would like to say thank you to the new co-chairs, Sandra Mozee-Smith and Ken Brzozowski. If you're interested in volunteering, please reach out to Sandra, Ken, or me at the conference, or send an email, and we'll find ways to involve you in the committee.

A big thank you to our Academic Relations Committee for their efforts in growing membership and promoting the internal audit profession to local universities and student groups. The committee organized a case competition at Drexel University in February, where students collaborated and competed for prizes and bragging rights. Members of the chapter and the board served as coaches and judges, creating a fun and engaging experience. We're actively seeking opportunities to introduce the profession to future Internal Auditors, so please don't hesitate to share any ideas or potential opportunities with me.

I must acknowledge the hard work of the Chapter Officer team this year: Lyz Noel (Programs), Liz Gulla (Communications), Lee D'Ambrosio (Membership), Maeve Raak (Secretary), and Seth Edwards (Treasury). They have been fantastic to work with, balancing their responsibilities alongside their jobs. You'll be in good hands next year!

Thank you to all our Board Members for all of their hard work throughout the year. They have assisted with chapter programs and provided

guidance to me throughout the year, we couldn't achieve what we do without their support.

As a reminder, the IIA offers online CIA Review Courses year-round for those seeking certification. As our chapter is a CIA Premier Partner, members can enjoy discounts on review courses and exams. Learn more at <https://www.learnCIA.com/> and mention your Philadelphia membership.

Thank you to our members for your chapter support and for supporting me as President. Feel free to reach out anytime with suggestions on how the chapter can best support you. I look forward to seeing you at the Spring Summit and wish everyone a fantastic spring and summer ahead!

Sean McErlean

Sean McErlean, President – Philadelphia Chapter of the IIA
president@phillyiia.org

Career Opportunities

As a service to our Philadelphia Chapter membership, we post and maintain job postings on our IIA Philadelphia LinkedIn website allowing members who are in need of an opportunity (or a change of scenery) to pursue opportunities with local employers who are looking to fill their audit related staffing needs. This service has always been provided at no cost and viewed as a continuing benefit of membership in our Chapter.

If you are looking to fill a staffing need, we can post your job opportunity(s) on our IIA Philadelphia Chapter LinkedIn. For job seekers, head to our Chapter's LinkedIn to review recent job postings.

If you are searching for staff, please email your opportunity, including contact information (i.e., name, title, email address, phone) for each opening and a link to the online posting to Dave Aubrey, Chair, Employment Committee (djaubrey@drpa.org). Dave can also answer questions pertaining to employment and job postings.

Event Spotlight

The 10th annual Fraud & Internal Controls Case Competition (FIC3) was held in February 2024. This event, hosted by Drexel University and co-sponsored by the Philadelphia Chapter of the IIA, the Philadelphia Chapter of the Association of Fraud Examiners, and Mercaden, attracted over 50 students across six schools in the Philadelphia area. As this was a milestone year, the case was filled with extra surprises including a dramatic, day-of content surprise for the students and giveaways for all participants and volunteers to celebrate the 10-year partnership. Students showcased their audit and analytical skills while presenting their cases to a panel of current internal audit practitioners, which was organized by the Philadelphia IIA Chapter's Academic Relations Committee. The Committee continues to expand on their partnership with members of the Drexel Accounting department to develop the annual case competition and to spread overall awareness of the internal audit profession to local university students.

The 2024 FIC3 kicked off on February 5, 2024 with a general overview of the competition as well as pointers and tips provided by Drexel accounting department. This year's case—written in partnership with Drexel's accounting department, the Philadelphia IIA Academic Relations Committee, and the Association of Fraud Examiners—focused on greenwashing financial and reputational risks related to a fictional Chemical company, ChloroGreen. The students were asked to review and assess process walkthrough documentation, marketing campaign considerations, and information provided from a whistleblower to identify the red flags of potential data discrepancies and any overstatements or fictitious reporting around chemical waste reduction efforts. They also considered the elements of the fraud triangle and identified potential control gaps within the process.

Competition day, February 9, 2024, began with the teams discovering some extra information on the case and spending the first half of the day designing their PowerPoint presentations summarizing their findings from their assessments and proposing solutions for how to mitigate the control gaps and unmitigated risks they identified. Current internal audit professionals were also available to coach and guide each team as they prepared their presentations for the panel of judges, which acted as the ChloroGreen Board of Directors. Each team presented their findings to the judges, and three teams advanced to the finals where they presented their findings to the full team of judges. The top prize was awarded to a team of students from Eastern University. Thank you to all the volunteers from our local chapter that helped put on another great event!



2023-2024 Chapter Events Recap

#	Event	Date	CPE Credits
1	Managing Audits and Fraud Investigation Expectations	8/24/2023	2
2	SOC Benchmark Study Overview	9/15/2023	2
3	Foundational Elements of Effective Internal Audit		8
4	2023 Fall Conference	11/20/2023	8
5	Ethics with Danny Goldberg	12/4/2023	2
6	Automation Trends and Managing the Corresponding Risks	1/31/2024	1
7	Cyber Symposium	2/27/2024 – 2/28/2024	8
8	CAE Roundtable	3/14/2024	2
9	2024 Spring Summit	4/12/2024	8

Stay informed about upcoming events by following the Philadelphia IIA Chapter on social media



@IIAPhiladelphia



<https://www.linkedin.com/company/institute-of-internal-auditors-philadelphia-chapter>

Congratulations to our Proposed 2023-2024 Leadership Team

Nominee	Role
Lyz Noelle	President
Greg Dusing	Programs Chair
Lee D'Ambrosio	Membership Chair
Todd Garfield	Communications Chair
Liz Gulla	Treasurer
Marc Lyman	Secretary

The above team is to be ratified by the general membership at the Spring Summit

Growing Awareness for Internal Audit

May Is International Internal Audit Awareness Month!

As an internal audit professional, you play an important role in raising awareness and elevating the profession. May offers you an important opportunity to promote the profession among your stakeholders.

Though it's important to promote the value of internal audit year-round, The IIA especially encourages this championing in the month of May.

Our Building Awareness Toolkit is the perfect complement to your celebration of International Internal Audit Awareness Month by offering creative ideas and tips, sample programs, videos, tools, and templates all designed around generating greater awareness of the important work that you do.

For example, you can customize your email signature and social media accounts with one of the International Internal Audit Awareness Month graphics (examples displayed below). You can hold a lunch-and-learn in your workplace to dispel myths about internal auditing and explain the value that a well-resourced, independent internal audit function can provide. You can also raise the profile of your internal audit department's staff members by featuring their biographies in an article for your company's intranet or internal newsletter.

Don't let the opportunity pass to advocate the importance of internal auditing to your coworkers and other stakeholders. For additional ideas, sample programs, tips, and templates, download the free Building Awareness Toolkit from The IIA's website.



Member Anniversaries & Certifications

The Philadelphia IIA Chapter would like to recognize and thank our long-standing members. Please join us in thanking the following members:

<p><i>45 Years</i> Jerome Furman (Mar 1979) John J. Kyle (Oct 1979)</p> <p><i>40 Years</i> Deborah Finley (Sept 1984)</p> <p><i>30 Years</i> Michael P. Loftus (Apr 1994) Paul W. Obenshain (Mar 1994)</p> <p><i>25 Years</i> Ann M. Aerts (Dec 1999) Frank J Macciocca (Apr 1999) Jane R. Vyverberg (June 1999) John B. Riesch (Dec 1999) Mary Wolfgang (Feb 1999) Michael Berchick (Aug 1999) Michael J. Gujda (Nov 1999) Robert S. Lucas (Mar 1999) Rocco G. Martorano (Dec 1999) Timothy Patrick Maher (Mar 1999) Venus A. Hadeed (July 1999)</p> <p><i>20 Years</i> Allen Chou (June 2004) Bernadette Robinson (Dec 2004) Clint R. Zuleski (Sept 2004) Debra J. Huddleston (May 2004) Edward Hudak (Apr 2004) Francis Casey (June 2004) Gary Johnson (Aug 2004) Jeff Cehlar (Sept 2004) Jeff Flynn (Apr 2004) Jessica Rish (Sept 2004) John Berner (Dec 2004) John P. Mulcahy (Sept 2004) Kathleen Schaeffer (Sept 2004)</p>	<p><i>20 years (cont.)</i> Kerry Rowe (Apr 2004) Lars G. Williamson (Nov 2004) Laura Del Rossi (Mar 2004) Mihir Jhaveri (Sept 2004) Nancy Williams (Aug 2004) Peter Parillo (Feb 2004) Sherwood P Stanton (Sept 2004) Thomas Foye (Oct 2004) Trish Tulenko (Dec 2004)</p> <p><i>15 years</i> Charles Kennedy (Apr 2009) Ewa Dorozala (June 2009) Jan Giannetto (July 2009) Jason Clark (Aug 2009) Katherine Bair (Jul 2009) Kenneth Walker (Sept 2009) Michael Rosenthal (Aug 2009) Michelle Butvilas (Nov 2009) Mui Yau (Feb 2009) Nadia Saidu-Kamara (Dec 2009) Odir Chavez (Mar 2009) Patricia Loughney (Apr 2009) Patrick Renaud (Aug 2009) Raffaele Fusca (Jan 2009) Steven Chille (Aug 2009) Tiffany Brown (July 2009)</p> <p><i>10 years</i> Adam Krick (May 2014) Alejandro Pabellon (Jan 2014) Allison Amrein (July 2014) Amy Bush (Apr 2014) Asad Alvi (June 2014) Autumn Krumanocker (Oct 2014) Christina Harder (Aug 2014) Christopher Applegate (July 2014)</p>	<p><i>10 years (cont.)</i> Christopher Millar (July 2014) Dean A. Henry (Feb 2014) Divya Gupta (Apr 2014) Ethan Zlotchew (May 2014) Henry Okoye (Sept 2014) Jamie Franzken (Dec 2014) Jason Imperato (Oct 2014) Jim Jennings (June 2014) John McCarry (Dec 2014) Jonathan Chan (Apr 2014) Lindsey D'Angelo (Sept 2014) Malgorzata Grzybek (Mar 2014) Marita Dickson-Jones (May 2014) Mark Zitzler (Nov 2014) Martha Arkhurst (Nov 2014) Marybeth Morrison (Jan 2014) Maureen Valentine (June 2014) Michael Gibbons (Dec 2014) Neha Raghunath (May 2014) Quanne Williams (Apr 2014) Robert Amodei (Nov 2014) Robert Krupka (Oct 2014) Sol Vazquez (Dec 2014) Susan Fowler (Oct 2014) Vanessa Blair (Nov 2014) Varney Bafalie (Apr 2014) William Sharkey (Feb 2014) Zhi Lee (May 2014)</p>
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Certifications



to the following Philadelphia IIA Chapter members on their recent IIA certifications!

Certified Internal Auditor (CIA)

Will Jennings
Maeve Raak
Monica DeBellis
Dana Baer
Morgan Druce
Brenda Fulop
Katherine Marple
Brian Buckline
June Xie
Corinne Handy



Nominated Officer Spotlight: *Greg Dusing*



Greg is an accomplished Internal Audit Director with a wealth of experience spanning over 13 years in the fields of external and internal audit, IT audit, risk management and internal

controls. He brings a unique blend of expertise and passion to his current role as a Director in West Pharmaceutical Services, Inc.'s Internal Audit department. In this role he is responsible for providing assurance and advisory services to internal stakeholders at West including the board of directors, senior leadership and middle management in the areas of internal controls over financial reporting, enterprise risk management, cybersecurity governance, operational excellence and risk mitigation. Since joining the West IA team, Greg has been instrumental in establishing an audit analytics program, enhancing the team's risk-based audit plans, and engaging in new and emerging risk areas to drive process improvements, improve the effectiveness of internal controls and ensure IA provides the utmost value to its stakeholders.

Prior to joining West, Greg spent 10 years in PwC's Risk Assurance group in the Philadelphia

market where he most recently served as a Senior Manager specializing in financial business process and information technology audit and consulting services for both external and internal audit clients. He worked on a diverse array of engagements, collaborating with multinational corporations to enhance their internal control compliance frameworks, risk management and governance functions.

Beyond his technical acumen, Greg has a passion for establishing meaningful relationships at all levels within an organization. Whether engaging with senior executives, department heads, or frontline staff, he approaches each interaction with empathy, integrity, and a genuine desire to understand perspectives. This penchant for building rapport and fostering collaboration has enabled Greg to effectively influence stakeholders and drive positive change within his organization.

As a dynamic team leader, Greg is deeply committed to the growth and development of his colleagues. He cultivates a supportive and inclusive work environment where individuals are empowered to reach their full potential. Through mentorship, coaching, and tailored development plans, Greg nurtures talent, instills confidence, and fosters a culture of continuous learning and innovation.

Greg holds a bachelor's degree in accounting from St. Joseph's University and a Certified Information Systems Auditor (CISA) certification. He is an active member of both ISACA and the IIA where he shares insights, best practices, and thought leadership with peers through forums and presentations. He currently participates in the IIA's Academic Relations Committee through which he enjoys getting back on SJU's campus and other local University campuses to speak to aspiring professionals about career opportunities in Accounting and Internal Audit.

On a personal level, Greg is a passionate soccer fan who supports Liverpool Football Club in the English Premier League and all Philadelphia sports teams. He is married to his high school sweetheart Brianna, with whom they have one son (6) and twin daughters (4). In his spare-time, (which is very infrequent with young kids), he enjoys playing soccer, golf and basketball. He and his family love getting down to Sea Isle City in the summer months and exploring new things with his young kids and wife.



Nominated Officer Spotlight: *Todd Garfield*



Todd is a Risk Advisory Manager for Grant Thornton, responsible for managing Internal Audit and SOX engagements for clients in the Insurance Industry. He has been working with Grant Thornton for 3 years, and prior to joining Grant Thornton, was an Internal Auditor for PNC Bank focusing on Asset and Liability Management.

Todd holds a Bachelor of Science Degree in Finance and Accounting from the University of Pittsburgh. Todd is a Certified Public Account

(CPA) and a member of the American Institute of Certified Public Accountants (AICPA).

Todd's specialty is bringing people together to provide long term value in the work that is being performed. As the basis for everything Todd does, he wants his teams to enjoy the work and understand the value that they provide as consultants. He knows that in Internal Audit consulting, his teams can make real differences by improving the effectiveness and quality of an Internal Audit Program.

Todd joined the world of Internal Audit as a curious Junior in college applying to internships not really knowing what Internal Audit was. He liked the idea of being able to combine his interest in financial services with his accounting background. A job in Internal Audit allows someone to learn the skills and knowledge of how to perform audits, but also learn the complex details on different processes of a business. After a few years, he wanted to use the knowledge he developed to provide Internal Audit advisory services and be able to help organizations improve on their Internal Audit operations.

In his spare time, when he is not creating risk and control matrices, Todd loves to spend time with his fiancé and family, play fantasy football, watch basketball, and play chess. Some fun facts about Todd are that he knows how to solve a Rubik's Cube and has a twin sister. He is the commissioner of two fantasy football leagues and loves to volunteer at charity races.

Chapter Achievement Program (CAP) Report



The CAP program is designed to reward and recognize chapters who go above and beyond in serving their members. All CAP activities are derived from the North American Strategic Plan. Chapter status levels recognized by the program are progressive. The chapter must fulfill all Bronze requirements to achieve Bronze status, all Bronze and Silver requirements to achieve Silver status, and all Bronze, Silver and Gold requirements to achieve Gold status. Based on the dedicated service of our leadership and our members, the Philadelphia Chapter has achieved a Platinum designation for 2023; continuing our Platinum run since 2014! Our Chapter has now achieved at least Gold status for 18 straight years!

CAP activities the Chapter must complete on an annual basis are broken up into the following categories:

- IIA HQ Partnership
- Programming
- Certifications
- Advocacy
- Membership

*Chapters earn:

- Platinum by achieving Gold 10 out of 11 consecutive years;
- Ruby by achieving Gold for 20 consecutive years;
- Sapphire by achieving Gold for 25 consecutive years; and
- Diamond by achieving Gold for 35 consecutive years

All Chapter members can play a role in the Chapter's success. By informing the Secretary when you participate in various activities specific to the "Service to Profession" category, this will help to increase our CAP point submission. The various activities include areas such as:

- Participation by any chapter member in a Junior Achievement Program/Event;
- Advocacy: Host an Internal Audit event at work, (i.e. Lunch and Learn);
- Schedule a meeting with legislator or local politician to discuss IIA, legislation etc.
- Contribute thought leadership to the Audit Executive Center (AEC) Knowledge Center;
- Participate in writing examination questions for the following IIA certifications: Certified Internal Auditor (CIA), Certified Financial Services Auditor (CFSA), Certification in Risk Management Assurance (CRMA), Certified Government Auditing Professional (CGAP), and Certification in Control Self-Assessment (CCSA);
- Submit articles to the Internal Auditor magazine;
- Have articles published in the Internal Auditor magazine;
- Have articles published in other professional journals;
- Speaking engagements by chapter members both in and outside of the US, Canada, and the Caribbean. The chapter is eligible for CAP points if speaker is not compensated. Additionally, presentations can be outside of the Internal Audit profession.
- The Chapter will receive points based upon the percentage of volunteer growth annually.

If you have participated or plan to participate in any of the activities described above, during the period of June 1, 2023 through May 31, 2024 please communicate this information to the Chapter Secretary, Maeve Raak (secretary@phillyiaa.org) and provide a brief description of the activity/event. If the activity is related to a speaking engagement, please provide the date of the presentation, a brief description of the event/presentation, and the length of time you presented. We appreciate your participation in helping the Chapter to once again achieve a Platinum status for the Year!

**The Current IIA Philadelphia Chapter
Board of Governors
(2023-2024)**

Ex-Officio – Two Past Presidents

Ryan Egan
Matt Skala

Officers

Sean McErlean
Lyzbeth Noel
Lee D’Ambrosio
Liz Gulla
Seth Edwards
Maeve Raak

Term Expiring 2024

Ken Brzozowski
Adam Ross
Bernadette Robinson
Sandra Mozee-Smith
Susan Allem

Term Expiring 2025

Marc Lyman
Jamal Ahmed
Michael Levy

Term Expiring 2026

Laurie Riggs
Frank Macciocca
Lindsey Bell
Peter Parillo

**Some Lighthearted Internal Audit
Humor**



No, a tweet made up entirely of emojis
is not sufficient documentary evidence!

Chapter Feedback

If you have any questions, suggestions,
comments, or concerns please reach out to
communications@phillyia.org

Freedom Pass Bundles

Save up to 30% at our events!

Bundle Size	Cost	Cost per CPE	Savings %
40 CPE Credits	\$1,560	\$39.00	10%
80 CPE Credits	\$2,945	\$36.81	15%
160 CPE Credits	\$5,545	\$34.65	20%
320 CPE Credits	\$10,395	\$32.48	25%
640 CPE Credits	\$19,400	\$30.31	30%



Conditions for purchase:

- Credits expire after 18 months
- Must be a member of the Philly Chapter of the IIA
- Savings calculated using event cost of 1 CPE - \$43

For further details, please reach out to programs@phillyiia.org

Additional Freedom Pass Details:

- Freedom Pass credits generally translate to CPE hours for each event, unless otherwise stated (i.e., 5 people attending an 8 CPE seminar is 40 Freedom Pass Credits)
- Freedom pass credits expire 18 months from date of purchase
- Every Quarter, a statement providing the balance of used and purchased Freedom Pass credits will be provided to each account holder
- Freedom Pass bundles must be purchased by a member of the Philadelphia Chapter of the IIA
- Most programs offered by the Philadelphia Chapter of the IIA are eligible to be purchased using Freedom Pass credits

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