



The Institute of
Internal Auditors

Philadelphia

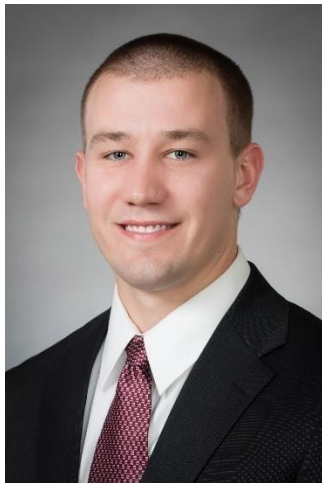
Member **NEWSLETTER**

Spring 2023, Issue #23-1

Newsletter Chair:

Jillian Zerrillo

President's Message



Dear Philadelphia Chapter Member –

Thank you all for another great year for the Chapter. As I sit here and write what will be my last message to membership as Chapter President, I can't help but to reflect on another great year for the chapter. There were many highlights that could not have been accomplished without the support of our generous sponsors and, of course, you. I would also be remiss if I didn't thank the guidance of the many volunteers, past leaders, and Board Members I have leaned on to create an environment that allowed us

to continue to focus on what is most important for the chapter: providing value for our members.

When planning for the year, there was still a lingering degree of uncertainty as this was the first year in quite some time that we were finally able to schedule a full slate of virtual and in-person events. I am happy to report that throughout the year the chapter was able to put forth 50 CPEs across our various events. It has not been an easy road to getting back in front of our members, but we are happy to be doing so through events such as the Membership Appreciation Event at the Phillies, the Fall Conference, and the Spring Summit.

One of the biggest focus areas we had as a Leadership Team for the chapter year was providing additional opportunities for our general membership to get involved through volunteering. In doing so, we have continued to see advancements from both our Academic Relations Committee and our Diversity, Equity, and Inclusion Committee in their respective focus areas. It has been great seeing new faces at the committee meetings and seeing fresh ideas being brought to the table. If you are interested in

getting more involved with the chapter, we are always looking for additional volunteers!

I am honored that I was provided the opportunity to lead such a strong chapter and grateful for the lessons I've learned along the way. I am very excited to see the passion and commitment the new Leadership Team will bring to the Chapter. I have no doubt that they will flourish in their roles and continue all the great work the leaders before me have put forth.



Matt Skala,
President – Philadelphia Chapter of the IIA

Career Opportunities

As a service to our Philadelphia Chapter membership, we post and maintain job postings on our IIA Philadelphia LinkedIn website allowing members who are in need of an opportunity (or a change of scenery) to pursue opportunities with local employers who are looking to fill their audit related staffing needs. This service has always been provided at no cost and viewed as a continuing benefit of membership in our Chapter.

If you are looking to fill a staffing need, we can post your job opportunity(s) on our IIA Philadelphia Chapter LinkedIn. For job seekers, here is the link to the Chapter's LinkedIn: **<http://www.linkedin.com/company/institute-of-internal-auditors-philadelphia-chapter>**

If you are searching for staff, please email your job opportunity(s)/description(s), including the contact information (e.g., name, title, email address, phone) with each opening, and a link to the online posting(s) to Dave Aubrey, Chair, Employment Committee (**djaubrey@drpa.org**). Contact Dave with any questions pertaining to employment and the job postings.

Fraud and Internal Controls Case Competition Event Spotlight

The ninth annual Fraud & Internal Controls Case Competition (FIC3) was held in February 2023. This event, hosted by Drexel University and co-sponsored by the Philadelphia Chapter of the IIA and Mercadien, attracted 25 students across 5 schools in the Philadelphia area. After 2 years as a virtual competition, it was very exciting to be back in person on the Drexel Campus in Philadelphia. Students showcased their audit and analytical skills while presenting their cases to a panel of current internal audit practitioners. The Chapter's Academic Relations Committee continues to expand on their partnership with members of the Drexel Accounting department to develop the annual case competition and to spread overall awareness of the internal audit profession to local university students.

The 2023 FIC3 kicked off on February 6, 2023, with a general overview of the competition as well as pointers and tips provided by Drexel accounting department. This year's case focused a data breach at a third-party vendor for a not-for-profit organization. Students explored 3rd party control reports, notices of data breaches and analyzing payroll data for potential irregularities and how to consider their own companies' control environment as it relates to 3rd party control reports.

They also had to think through how they would perform a risk assessment and the various considerations that the company should think about to prevent a future issue. The case was written by Drexel accounting department personnel with help from Drexel students who have participated in the FIC3 in prior years.

Competition day, February 10, 2023, began with a presentation from Risk professional that joined via Zoom from California. She specializes in performing risk assessments, specifically around data security and shared insights for her client along with key considerations for assessing risk related to sharing data securely. Each team were then tasked with putting together a PowerPoint as consultant to the non-for-profit organization evaluating considerations for relying on a vendor's controls report, assessing data for negative impact from the data breach and compile a proposal for an approach to perform a risk assessment. The students were also provided a current internal audit practitioner as a coach to help guide them in their preparation. Each of the teams presented to a small panel of experienced internal audit practitioners with four of the teams presenting a second time with the whole team of judges. The top prize went to a group of students from Drexel who had just met each other that day. Thank you to all the volunteers from the local chapter that helped put on another great event!



2022 – 2023 Chapter Events Recap

Ref	Event	Date	CPE Credits	# Of Attendees
1	Member Appreciation Day	7/27/2022	2	55
2	Anatomy of Organizational Fraud	8/23-8/24/2022	8	37
3	Critical Interpersonal and Communication Skills	9/26-9/27/2022	8	23
4	ESG - What it Means to Internal Auditors	10/12/2022	2	52
5	2022 Fall Conference	11/21/2022	8	249
6	Practical Ethics 5.0	12/12/2022	2	90
7	Cyber Symposium	1/23-1/24/2023	8	86
8	Financial Services Compliance Update	3/29/2023	2	28
9	2023 Spring Summit	4/28/2023	8	250+
10	Internal Audit Awareness Month Event	5/15/2023	1	TBD

Stay informed about upcoming events by following the Philadelphia IIA Chapter on social media.



@IIAPhiladelphia



<http://www.linkedin.com/company/institute-of-internal-auditors-philadelphia-chapter>

Congratulations to Our Proposed '23-'24 Leadership Team

Nominee	Role
Sean McErlean	Chapter President
Lyzbeth Noel	1 VP, Programs Chair
Lee D'Ambrosio	2 VP, Membership Chair
Liz Gulla	Communications Chair
Seth Edwards	Treasurer
Maeve Raak	Secretary

Please note, the above team is to be ratified by general membership at the Spring Summit.

Growing Awareness of Internal Audit

May Is International Internal Audit Awareness Month!

As an internal audit professional, you play an important role in raising awareness and elevating the profession. May offers you an important opportunity to promote the profession among your stakeholders.

Though it's important to promote the value of internal audit year-round, The IIA especially encourages this championing in the month of May.

Our Building Awareness Toolkit is the perfect complement to your celebration of International Internal Audit Awareness Month by offering creative ideas and tips, sample programs, videos, tools, and templates all designed around generating greater awareness of the important work that you do.

For example, you can customize your email signature and social media accounts with one of the International Internal Audit Awareness Month graphics (examples displayed below). You can hold a lunch-and-learn in your workplace to dispel myths about internal auditing and explain the value that a well-resourced, independent internal audit function can provide. You can also raise the profile of your internal audit department's staff members by featuring their biographies in an article for your company's intranet or internal newsletter.

Don't let the opportunity pass to advocate the importance of internal auditing to your coworkers and other stakeholders. For additional ideas, sample programs, tips, and templates, download the free Building Awareness Toolkit from The IIA's website.



Inside
you make an impact

The Institute of
**Internal
Auditors**

May is Internal Audit Awareness Month.
Visit theiaa.org/Awareness for tools and resources.



Member Anniversaries & Certifications

The Philadelphia IIA Chapter would like to recognize and thank our long-standing members. Please join us in thanking the following members:

<p>40 Years Frank Hudson (Nov 1983) Rande E Whitham (Dec 1983)</p> <p>35 Years Daniel A. Thompson (Aug 1988) Aniruddha Pendharkar (Oct 1988) Mary Lou Scalese (Oct 1988)</p> <p>30 Years Sandra Mozee-Smith (Jul 1993) Nilufer K. Shroff (Sept 1993) Richard Wright (Nov 1993)</p> <p>25 Years Frank J. Tresnan (Jan 1998) Danielle L. Herner (Jan 1998) Robert W. Kintzer (Jan 1998) Wayne A. Tull (May 1998) Thu Nguyen (June 1998) Daniel J. Kennedy (Aug 1998) Joseph K. Kochuba (Oct 1998) Alida Hall (Nov 1998)</p> <p>20 Years Luisa Rosenberg (Jan 2003) Scott M. Parker (Jan 2003) Stacey M. Kelsall (Jan 2003) Daniel F. Creedon (Jan 2003) John O'Grady (Jan 2003) John M. O'Connor (Jan 2003) Stephen Doney (Jan 2003) John F. Conrad (Jan 2003) Robert S. Bright (Feb 2003) George Knapp (Feb 2003) Christopher J. Janis (Mar 2003) Michael E Rodriguez (Apr 2003) Joyce Massott-Burnett (May 2003) Maria R. Hoffman (May 2003) Bryan Henry (June 2003) Dennis Aquino (July 2003) Joseph Esposito (July 2003) Marc Lyman (July 2003)</p>	<p>20 Years (continued) William Dawson (Aug 2003) Malte H. Globig (Oct 2003) Michael L. Brozzetti (Nov 2003) Steven Melletz (Dec 2003)</p> <p>15 Years Donovan Hawse (Jan 2008) Mark Vitale (Jan 2008) James Snyder (Feb 2008) Theresa Valley (Mar 2008) John Romano (Mar 2008) Craig Cleaver (Apr 2008) Ericka Green (Apr 2008) Matthew Williams (Apr 2008) Warren Greenidge (Apr 2008) George Molwitz (June 2008) Meredith Byrne (June 2008) Toby Jose (June 2008) Joseph Bethea (July 2008) Otis Aracena Jon (July 2008) Melody Blanchford (July 2008) Courtney Wilgucki (Aug 2008) Maurice Jones (Sept 2008) Jun Zhao (Sept 2008) Holly Corson (Oct 2008) Elaine Woehr (Oct 2008) Katherine Paquette (Oct 2008) Catherine Naydan (Oct 2008) Ricardo Rodriguez (Oct 2008) Steven Mark (Oct 2008) Michael Duplessis (Dec 2008)</p> <p>10 Years Shmuel Eisenbach (Jan 2013) Sheetal Mehta (Jan 2013) Cory Hardy (Feb 2013) Chip Scott (Feb 2013) Sunyou Ni (Feb 2013) Mitchell Steinberg (Feb 2013) Jay Patel (Feb 2013) Jin Chen (Mar 2013) Frank Hansen (Apr 2013)</p>	<p>10 Years (continued) Martin Szakacs (Apr 2013) Bancroft Hunter (Apr 2013) Marisol Baez-Neris (Apr 2013) Amy Leimbrock (May 2013) William Ramaeckers (May 2013) Matthew Hutchinson (May 2013) Stephen Straccia (May 2013) Jeemi Dattani (June 2013) Shawn Marion (June 2013) Jennifer Sholder (June 2013) Eileen Stephenson (July 2013) Shara Laws (July 2013) Kaliopi Pappas (July 2013) Kelly Rieger (Aug 2013) Kristian Suy (Aug 2013) Ericka Chehi (Sept 2013) Rebecca Hemple (Sept 2013) Dawn Vogel-McWhinney (Sept 2013) Nicholas Foley (Sept 2013) Michael Marino (Sept 2013) Olumuyiwa Adegun (Oct 2013) Timothy Smith (Oct 2013) Brittany Maeve Raak (Oct 2013) Courtney Carroll (Oct 2013) Viktoria Foris (Oct 2013) Daniel Reyes (Nov 2013) Vireli Rios Guisao (Dec 2013) Kenneth Wozniak (Dec 2013)</p>
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Certifications



to the following Philadelphia IIA Chapter members on their recent IIA certifications!

Certified Internal Auditor (CIA)

Jacinta Bacon
Blaine Bower
Faizal Chaudhury
Nicole DeFeo
Bryan Hua
Manush Kathrotia
Robert Koob
Saidath Mazu
Monica Pitra
Jennifer Romano
Katrina Savon

Internal Audit Practitioner

Emily Bantola
Ryan Gill



Nominated Officer Spotlight *Lee D'Ambrosio*



Lee is a Manager in the Technology Risk Consulting practice at RSM, based in the Philadelphia office. Prior to joining RSM in 2018, Lee worked in corporate audit for several public companies in the

Philadelphia area, and as a consultant for a Big Four firm in their risk advisory services practice.

During his 18-year career, Lee has held internal audit positions and delivered IT audit and risk management services for entities across a multitude of industries and ranging in size, from small community banks to Fortune 500 global

organizations. His career in internal audit has been marked by developing the IT internal audit function for small to mid-sized companies post their initial public offering (IPO) and transforming existing functions at Fortune 500 companies through the implementation of data analytics and robotic process automation (RPA) software for continuous audit monitoring and testing.

Lee has a Bachelor of Science in Business Administration with a concentration in Management Information Systems from the University of Delaware. Lee is also a member of ISACA and a Certified Information Systems Auditor (CISA), and is currently pursuing the Certified Internal Auditor (CIA).

Lee has been active with the Institute of Internal Auditors (IIA) Philadelphia Chapter since 2019. During his tenure with the IIA, he co-chaired

several Spring and Fall Conferences and has also presented at a few of them. Lee is to serve as the 2 VP, Membership Chair pending membership ratification at the Spring Summit. As a Board member, he hopes to serve the Chapter by providing leadership, support, and guidance to promote the Chapter's strategic initiatives and develop innovative ways to increase Chapter awareness and outreach in the market.

Lee also has a passion for education and has developed and delivered various training programs with past employers, and current, to help pave the way for the profession's next generation. He has also led guest lectures on IT audit at the University of Delaware's Alfred Lerner College of Business and Economics and Widener University's School of Business.

Lee cherishes time spent traveling the world absorbing different cultures and histories, just as much as cheering on his favorite local Philly sports teams and vacationing with family at the Jersey Shore. His favorite extra-circular activity is chasing around his two rambunctious boys aged 6 and 8 years old.



Nominated Officer Spotlight *Liz Gulla*



Liz is an Internal Audit and SOX Manager at GitLab where she is responsible for overseeing Sarbanes Oxley compliance. Prior to joining GitLab in 2022, Liz spent seven years at Protiviti specializing in Sarbanes Oxley compliance. Liz has worked with numerous companies (including GitLab) in standing up their SOX programs and ensuring compliance as companies become public.

Liz holds a Bachelors of Business Administration in Accounting and MIS from the Fox School of Business at Temple University. Liz is also a Certified Public Accountant (CPA) and is studying to become a Certified Information Systems Auditor. In the coming years, Liz also plans to become a Certified Internal Auditor (CIA).

Liz has been an active member of the Philadelphia Chapter of the IIA since 2017. She was a member of the newsletter committee for two years. She has also been a part of the spring and fall conference committees since 2020. Liz is to serve as the Communications Chair pending ratification at the Spring Summit. She looks forward to the opportunity to continue to serve the chapter and engage its members.

Outside of the world of internal audit, Liz enjoys spending time with her family, traveling, and-weather-permitting- skiing.



Student Spotlight Hasibah Walker



Hasibah Walker is currently a senior at Morgan State University pursuing a Bachelor of Science in Business Administration with a concentration in Accounting.

Since September of 2022, she's had the opportunity to work under Sandra Mozee-Smith who is an IIA Philadelphia Chapter Board Member and the Directress of Internal Audit at Cooper University Healthcare in Camden, NJ. While continuing classes online she has worked closely with Sandra at Cooper getting hands on experience in healthcare internal auditing, walking through the basics of planning, testing, implementing internal controls and other fieldwork.

Prior to the internship, Hasibah took an auditing course as part of her accounting concentration at Morgan State and grew an interest in auditing. Learning how things are supposed to work from the inside out has always piqued her interest and auditing gives the perfect opportunity to learn more about the operations of big companies and organizations and how they function internally.

Hasibah has recently accepted her nomination into Morgan State's Chapter of The National Society of Leadership and Success (NSLS). As the daughter of the President of Camden's Advisory School Board of Education, she is heavily involved in the community and helps with the advancement of the youth in Camden, NJ in any way she can.

As a student member of the IIA she hopes to further expand her knowledge about the universe of auditing as well as her involvement in the IIA Philadelphia Chapter as a whole. She hopes to gain insight into auditing as it relates to other industries other than healthcare like banking, forensics, government, etc. She's also excited to network with other members and soak up any personal experiences they might share to help navigate her in the industry and prepare her for life after graduation.

Upon graduation in the Fall of 2023, Hasibah plans to secure a full-time job with Cooper University Healthcare as a Staff Internal Auditor and start the pursuit of her Certified Internal Auditor (CIA) certification as well as her Certified Healthcare Risk Auditor (CHCRA) and Certified Healthcare internal Audit Professional (CHIAP) certifications. Hasibah would also like to pursue entrepreneurship post-graduation by starting her own clothing line and looking into the Airbnb hosting business. Outside of work Hasibah loves to travel, try new food, read, and spend time with her family.

Some Lighthearted Internal Audit Humor



Cartoon courtesy of CareersinAudit.com

Chapter Achievement Program (CAP) Report



The CAP program is designed to reward and recognize chapters who go above and beyond in serving their members. All CAP activities are derived from the North American Strategic Plan. Chapter status levels recognized by the program are progressive. The chapter must fulfill all Bronze requirements to achieve Bronze status, all Bronze and Silver requirements to achieve Silver status, and all Bronze, Silver and Gold requirements to achieve Gold status. Based on the dedicated service of our leadership and our members, the Philadelphia Chapter has achieved a Platinum designation for 2022; continuing our Platinum run since 2014! Our Chapter has now achieved at least Gold status for 17 straight years!

CAP activities the Chapter must complete on an annual basis are broken up into the following categories:

- IIA HQ Partnership
- Programming
- Certifications
- Advocacy
- Membership

*Chapters earn:

- Platinum by achieving Gold 10 out of 11 consecutive years;
- Ruby by achieving Gold for 20 consecutive years;
- Sapphire by achieving Gold for 25 consecutive years; and
- Diamond by achieving Gold for 35 consecutive years .

All Chapter members can play a role in the Chapter's success. By informing the Secretary

when you participate in various activities specific to the "Service to Profession" category, this will help to increase our CAP point submission. The various activities include areas such as:

- Advocacy: Participation by any chapter member in a Junior Achievement Program/ Event;
- Advocacy: Host an Internal Audit event at work, (i.e. Lunch and Learn);
- Advocacy: Schedule meeting with legislator or local politician to discuss IIA, legislation etc.
- Audit Executive Center (AEC): Contribute thought leadership to the AEC Knowledge Center;
- Certification: Participate in writing examination questions for the following IIA certifications: Certified Internal Auditor (CIA), Certified Financial Services Auditor (CFSA), Certification in Risk Management Assurance (CRMA), Certified Government Auditing Professional (CGAP), and Certification in Control Self-Assessment (CCSA);
- Editorial: Submit articles to the Internal Auditor magazine;
- Editorial: Have articles published in the Internal Auditor magazine;
- Editorial: Have articles published in other professional journals;
- Speakers: Speaking engagements by chapter members both in and outside of the US, Canada, and the Caribbean. The chapter is eligible for CAP points if speaker is not compensated. Additionally, presentations can be outside of the Internal Audit profession.

- Volunteerism: The Chapter will receive points based upon the percentage of volunteer growth annually.

If you have participated or plan to participate in any of the activities described above, during the period of June 1, 2022 through May 31, 2023 please communicate this information to the Chapter Secretary, Maeve Raak (secretary@phillyia.org) and provide a brief description of the activity/event. If the activity is related to a speaking engagement please provide the date of the presentation, a brief description of the event/presentation, and the length of time you presented.

We appreciate your participation in helping the Chapter to once again achieve a Platinum status for this Chapter Year!

The Current IIA Philadelphia Chapter Board of Governors (2022-2023)

Ex-Officio – Two Past Presidents

Laurie Riggs
Ryan Egan

Officers

Matt Skala
Sean McErlean
Jillian Zerrillo
Lindsay Bell
Frank Macciocca
Maeve Raak

Term Expiring 2023

Peter Parillo
Ryan Calef
Susan Allem
Monica DeBellis

Term Expiring 2024

Ken Brzozowski
Adam Ross
Bernadette Robinson
Sandra Mozee-Smith

Term Expiring 2025

Marc Lyman
Jamal Ahmed

Chapter Feedback

If you have any questions, suggestions, comments, or concerns please don't hesitate to reach out to communications@phillyia.org

Freedom Pass Bundles

Save up to 30% at our events!

Bundle Size	Cost	Cost per CPE	Savings %
40 CPE Credits	\$1,485	\$37.13	10%
80 CPE Credits	\$2,805	\$35.06	15%
160 CPE Credits	\$5,280	\$33.00	20%
320 CPE Credits	\$9,900	\$30.94	25%
640 CPE Credits	\$18,480	\$28.88	30%



Conditions for purchase:

- Credits expire after 18 months
- Must be a member of the Philly Chapter of the IIA
- Savings calculated using event cost of approximately \$41.25

For further details, please reach out to programs@phillyiia.org.

Additional Freedom Pass Details:

- Freedom Pass credits generally translate to CPE hours for each event, unless otherwise stated (i.e., 5 people attending an 8 CPE seminar is 40 Freedom Pass Credits)
- Freedom pass credits expire 18 months from date of purchase
- Every Quarter, a statement providing the balance of used and purchased Freedom Pass credits will be provided to each account holder
- Freedom Pass bundles must be purchased by a member of the Philadelphia Chapter of the IIA
- Most programs offered by the Philadelphia Chapter of the IIA are eligible to be purchased using Freedom Pass credits

THE IIA's CIA
LEARNING SYSTEM

A System for Success.
Prepare with Confidence & Convenience.

The IIA's CIA Learning System is an interactive review program, combining reading materials and online study tools to teach and reinforce all three parts of the CIA exam. It's updated to align with the latest industry standards, including the International Professional Practices Framework (IPPF) and the International Standards for the Professional Practice of Internal Auditing.

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