

2022 Financial Services Exchange

Save the Date for the 2022 Financial Services Exchange | Sept. 19-20, 2022 | Washington, DC

Sunday, September 18

2:00 – 6:00 p.m. ET Meeting Room	Registration and Customer Relations
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Monday, September 19

8:00 a.m. – 5:00 p.m. ET Meeting Room	Registration and Customer Relations		
8:00 – 9:00 a.m. ET Meeting Room	Continental Breakfast and Networking		
9:00 – 10:30 a.m. ET 75-minute Presentation 1.5 CPE Meeting Room NASBA Learning Field Learning Level	Opening Keynote Creating Value Through ESG <i>Session Information Being Finalized</i>		
10:30 – 11:00 a.m. ET	Networking Break		
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
11:00 a.m. – 12:00 p.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	CS 1-1 <i>Session Information Being Finalized</i>	CS 1-2 A Layered Approach to Cyber Coverage Vaibhav Sharma, CISA Senior Vice President and Audit Director, Technology Bank of America	CS 1-3 The Possibility of a Fully Automated Audit Function Bill Chippendale Senior Vice President and Chief Audit Executive Discover Financial Services Ling Cao Director, Data Science Strategy Internal Audit Discover Financial Services
12:00 – 1:15 p.m. ET Meeting Room	Lunch followed by dessert		
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
1:15 – 2:15 p.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	CS 2-1 ESG in Financial Services and the Role of Internal Audit Imtiaz Hussain Managing Director and Deputy Chief Auditor BNY Mellon	CS 2-2 Analyzing Customer Complaints With NLP to Enhance Audit Decisions Jim Tarantino, CISA Director RSM Lou Castagliola, CPA Data Analytics Manager RSM	CS 2-3 Auditing With Agility: Stop Wasting Time and Start Adding Value! Clarissa Lucas, CIA, CISA, CIDA IT Audit Director Nationwide Insurance Patrick Shanahan Associate Vice President, Internal Audit Nationwide Insurance
2:15 – 2:30 p.m. ET	Transition Break		

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TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
2:30 – 3:30 p.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	CS 3-1 Environmental, Social, and Governance (ESG) Risk and Board Oversight Bill Collier Enterprise Risk and Internal Controls Manager Paychex Lori Flaherty, CIA, CRMA, CFE ERM Lead Paychex	CS 3-2 Roadmap for Establishing IT Reliance Within M&A Transactions Kent Trainor, CIA, CPA Vice President, Internal Audit and Compliance goeasy, Ltd. Mandar Patel Senior Manager, IT Audit goeasy, Ltd.	CS 3-3 Increasing the Impact and Influence of Internal Audit Stephanie Richard Chief Audit Executive Ally Financial
3:30 – 4:00 p.m. ET Meeting Room	Networking Break		
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
4:00 – 5:00 p.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	CS 4-1 Bringing Together Risk, Compliance, Audit, and Other Business Units Dr. Paul Viancourt, CIA, CRCM, CAMS, CFE Director, Training & Development Ncontracts	CS 4-2 Addressing the SEC Cybersecurity Disclosure Enhancement From an Audit Perspective Keith Zielenski, CISA Managing Director Protiviti	CS 4-3 Auditing Human Resources in 2022 and Beyond Scott Baranowski Director, Internal Audit Wolf & Company, PC Colleen Simonelli Chief People Officer Wolf & Company, PC
5:00 – 6:00 p.m. ET	Welcome Reception		

Tuesday, September 20

Tuesday, September 20

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8:00 a.m. – 5:00 p.m. ET Meeting Room	Registration and Customer Relations		
8:00 – 9:00 a.m. ET Meeting Room	Continental Breakfast and Networking		
9:00 – 10:15 a.m. ET 60-minute Presentation 1.2 CPE Meeting Room NASBA Learning Field Learning Level	General Session 2 Futureonomics: The Economic Snapshot You Need to See Today Commander Mary Kelly, US Navy (ret), PhD, CSP, CPAE		
10:15 – 10:45 a.m. ET Meeting Room	Networking Break		
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
10:45 – 11:45 a.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	CS 5-1 <i>Session Information Being Finalized</i>	CS 5-2 Real-time Assurance: Implementing Automation, including Artificial Intelligence. Stacey Schabel, CIA, CPA Chief Audit Executive Jackson Financial Inc.	CS 5-3 External Fraud and Internal Audit Amanda West, CIA, CISA, CAMS, CRISC Senior Vice President and Deputy Chief Audit Executive First Horizon Corporation

Tuesday, September 20

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<p>11:45 a.m. – 1:15 p.m. ET</p> <p>1.2 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>Lunch With Sponsored Keynote</p> <p>Lunch and Learn 12:15 - 1:15 p.m. How to Make DE&I Part of Your Strategy to Drive Systemic Change for the Future: PwC's Fireside Chat</p>		
<p>1:15 – 2:00 p.m. ET</p> <p>Meeting Room</p>	<p>Coffee & Dessert</p>		
<p align="center">TRACKS</p>	<p align="center">Governance, Risk, and Regulation</p>	<p align="center">Innovation and Emerging Tech</p>	<p align="center">Internal Audit, Leadership, and Data</p>
<p>2:00 – 3:00 p.m. ET</p> <p>1.2 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>CS 6-1</p> <p>Understanding Interest Rate Risk and Asset Liability Management in a Rising Rate Environment</p> <p>Derek Fuzzell, CPA, CMA, CSCA Chief Financial and Strategy Officer, PAHO/WHO Federal Credit Union Global Board Member, Institute of Management Accountants (IMA)</p>	<p>CS 6-2</p> <p><i>Session Information Being Finalized</i></p>	<p>CS 6-3</p> <p>Keeping Up With Evolving Fraud</p> <p>Lee Williams Senior Vice President PNC Bank</p>
<p>3:00 – 3:30 p.m. ET</p> <p>Meeting Room</p>	<p>Networking Break in Exhibit Hall</p>		
<p align="center">TRACKS</p>	<p align="center">DE&I - Idea Session</p>	<p align="center">Cyber - Idea Session</p>	<p align="center">Data - Idea Session</p>
<p>3:30 – 5:30 p.m. ET</p> <p>2.4 CPE</p>	<p>CS 7-1: Part 1</p> <p><i>Session Information Being Finalized</i></p>	<p>CS 7-2: Part 1</p> <p><i>Session Information Being Finalized</i></p>	<p>CS 7-3: Part 1</p> <p><i>Session Information Being Finalized</i></p>
	<p align="center">DE&I - Idea Exchange</p>	<p align="center">Cyber - Idea Exchange</p>	<p align="center">Data - Idea Exchange</p>
<p>Meeting Room NASBA Learning Field Learning Level</p> <p>Total CPE: 13.5</p>	<p>CS 7-1: Part 2</p> <p><i>Session Information Being Finalized</i></p>	<p>CS 7-2: Part 2</p> <p><i>Session Information Being Finalized</i></p>	<p>CS 7-3: Part 2</p> <p><i>Session Information Being Finalized</i></p>