

2022 Financial Services Exchange

Save the Date for the 2022 Financial Services Exchange | Sept. 19-20, 2022 | Washington, DC

Sunday, September 18

2:00 – 6:00 p.m. ET Meeting Room	Registration and Customer Relations
-------------------------------------	-------------------------------------

Monday, September 19

8:00 a.m. – 5:00 p.m. ET Meeting Room	Registration and Customer Relations		
8:00 – 9:00 a.m. ET Meeting Room	Continental Breakfast and Networking		
9:00 – 10:30 a.m. ET 75-minute Presentation 1.5 CPE	Opening Keynote Creating Value Through ESG Moderator: Abhi Aggarwal Partner PWC Panelists: Diana Stoltzfus Deputy Chief Accountant U.S. Securities and Exchange Commission Mani Sulur Senior Vice President, Chief Compliance Officer USAA Paul Ricci Chief Audit Executive Wells Fargo		
Meeting Room NASBA Learning Field Learning Level			Specialty Knowledge Basic
10:30 – 11:00 a.m. ET	Networking Break		
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
11:00 a.m. – 12:00 p.m. ET 1.2 CPE	CS 1-1 Navigating the Coming Regulatory Tsunami John Wheeler Senior Advisor, Risk and Technology AuditBoard	CS 1-2 A Layered Approach to Cyber Coverage Vaibhav Sharma, CISA Senior Vice President and Audit Director, Technology Bank of America Rick Bristow Senior Vice President and Audit Director, Cyber Risk Bank of America	CS 1-3 The Possibility of a Fully Automated Audit Function Bill Chippendale, CIA, CPA Senior Vice President and Chief Audit Executive Discover Financial Services Ling Cao Director, Data Science Strategy Internal Audit Discover Financial Services
Meeting Room NASBA Learning Field Learning Level	Specialty Knowledge Basic	Information Technology Intermediate	Auditing Intermediate
12:00 – 1:15 p.m. ET Meeting Room	Lunch followed by dessert		

Monday, September 19

Monday, September 19

Monday, September 19

Monday, September 19

Monday, September 19

TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
<p>1:15 – 2:15 p.m. ET</p> <p>1.2 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>CS 2-1</p> <p>ESG in Financial Services and the Role of Internal Audit</p> <p>Imtiaz Hussain Managing Director and Deputy Chief Auditor BNY Mellon</p> <p align="center">Specialty Knowledge Intermediate</p>	<p>CS 2-2</p> <p>Analyzing Customer Complaints With NLP to Enhance Audit Decisions</p> <p>Jim Tarantino, CISA Director RSM</p> <p>Lou Castagliola, CPA Data Analytics Manager RSM</p> <p align="center">Specialty Knowledge Intermediate</p>	<p>CS 2-3</p> <p>Auditing With Agility: Stop Wasting Time and Start Adding Value!</p> <p>Clarissa Lucas, CIA, CISA, CIDA IT Audit Director Nationwide Insurance</p> <p>Patrick Shanahan Associate Vice President, Internal Audit Nationwide Insurance</p> <p align="center">Auditing Intermediate</p>
2:15 – 2:30 p.m. ET Transition Break			
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
<p>2:30 – 3:30 p.m. ET</p> <p>1.2 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>CS 3-1</p> <p>Environmental, Social, and Governance (ESG) Risk and Board Oversight</p> <p>Bill Coller Enterprise Risk and Internal Controls Manager Paychex</p> <p>Lori Flaherty, CIA, CRMA, CFE ERM Lead Paychex</p> <p align="center">Specialty Knowledge Intermediate</p>	<p>CS 3-2</p> <p>Roadmap for Establishing IT Reliance Within M&A Transactions</p> <p>Kent Trainor, CIA, CPA Vice President, Internal Audit and Compliance goeasy, Ltd.</p> <p>Mandar Patel, CPA Senior Manager, IT Audit goeasy, Ltd.</p> <p align="center">Information Technology Intermediate</p>	<p>CS 3-3</p> <p><i>Session Information Being Finalized</i></p>
3:30 – 4:00 p.m. ET Networking Break			
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
<p>4:00 – 5:00 p.m. ET</p> <p>1.2 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>CS 4-1</p> <p>Bringing Together Risk, Compliance, Audit, and Other Business Units</p> <p>Dr. Paul Viancourt, CIA, CRCM, CAMS, CFE Director, Training & Development Ncontracts</p> <p align="center">Auditing Basic</p>	<p>CS 4-2</p> <p><i>Session Information Being Finalized</i></p>	<p>CS 4-3</p> <p>Auditing Human Resources in 2022 and Beyond</p> <p>Scott Baranowski Director, Internal Audit Wolf & Company, PC</p> <p>Colleen Simonelli Chief People Officer Wolf & Company, PC</p> <p align="center">Personnel/Human Resources Basic</p>
5:00 – 6:00 p.m. ET Welcome Reception			

Tuesday, September 20

8:00 a.m. – 5:00 p.m. ET Meeting Room	Registration and Customer Relations		
8:00 – 9:00 a.m. ET Meeting Room	Continental Breakfast and Networking		
9:00 – 10:15 a.m. ET 60-minute Presentation 1.2 CPE Meeting Room NASBA Learning Field Learning Level	General Session 2 Futurenomics: The Economic Snapshot You Need to See Today Commander Mary Kelly, US Navy (ret), PhD, CSP, CPAE <p align="right">Specialty Knowledge Basic</p>		
10:15 – 10:45 a.m. ET Meeting Room	Networking Break		
TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
10:45 – 11:45 a.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	CS 5-1 Evaluating ESG Governance and Reporting – Assurance, Review, and Data Considerations Yvette Conner Strategic Risk Services Leader Grant Thornton LLP <p align="center">Specialty Knowledge Intermediate</p>	CS 5-2 Real-time Assurance: Implementing Automation, including Artificial Intelligence. Stacey Schabel, CIA, CPA Chief Audit Executive Jackson Financial Inc. <p align="center">Technology Intermediate</p>	CS 5-3 External Fraud and Internal Audit Amanda West, CIA, CISA, CAMS, CRISC Senior Vice President and Deputy Chief Audit Executive First Horizon Corporation <p align="center">Auditing Intermediate</p>
11:45 a.m. – 1:15 p.m. ET 1.2 CPE Meeting Room NASBA Learning Field Learning Level	Lunch With Sponsored Keynote Lunch and Learn 12:15 - 1:15 p.m. How to Make DE&I Part of Your Strategy to Drive Systemic Change for the Future: PwC's Fireside Chat Moderator: Rehana Anait Partner, Cyber, Risk & Regulatory PwC Consulting Services Panelists: Andy L. Navarrete Executive Vice President, Head of External Affairs Capital One Financial Corporation Dionne Wallace Oakley Senior Vice President, Chief Human Resources Officer Freddie Mac <p align="right">Personnel/Human Resources Intermediate</p>		
1:15 – 2:00 p.m. ET Meeting Room	Coffee & Dessert		

Tuesday, September 20

Tuesday, September 20

Tuesday, September 20

TRACKS	Governance, Risk, and Regulation	Innovation and Emerging Tech	Internal Audit, Leadership, and Data
<p>2:00 – 3:00 p.m. ET</p> <p>1.2 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>CS 6-1</p> <p>Understanding Interest Rate Risk and Asset Liability Management in a Rising Rate Environment</p> <p>Derek Fuzzell, CPA, CMA, CSCA Chief Financial and Strategy Officer, PAHO/WHO Federal Credit Union Global Board Member, Institute of Management Accountants (IMA)</p> <p align="center">Specialty Knowledge Intermediate</p>	<p>CS 6-2</p> <p>Addressing the SEC Cybersecurity Disclosure Enhancement From an Audit Perspective</p> <p>Keith Zielenski, CISA Managing Director Protiviti</p> <p align="center">Information Technology Intermediate</p>	<p>CS 6-3</p> <p>Keeping Up With Evolving Fraud</p> <p>Lee Williams Senior Vice President PNC Bank</p> <p align="center">Auditing Basic</p>
<p>3:00 – 3:30 p.m. ET</p> <p>Meeting Room</p>	<p>Networking Break in Exhibit Hall</p>		
TRACKS	Idea Session		
<p>3:30 – 5:30 p.m. ET</p> <p>2.4 CPE</p> <p>Meeting Room NASBA Learning Field Learning Level</p>	<p>CS 7-1: Part 1</p> <p>Diversity, Equity and Inclusion- Why and Who Cares?</p> <p>Kimberly Ellison Taylor, CPA, CGMA, CITP, CISA Chief Executive Officer KET Solutions, LLC</p> <p align="center">Personnel/Human Resources Intermediate</p>	<p>CS 7-2: Part 1</p> <p>Citibank / Cyber Idea & Exchange Session</p> <p>Cal Waits Chief Auditor, Cyber Security and Resilience Citi Bank</p> <p>Marc Sabino Head of Innovation, Managing Director, Chief Auditor Citi Bank</p>	<p>CS 7-3: Part 1</p> <p>Applying Automation and Innovation to Drive Audit's Impact</p> <p>Erin Dunlap Chief Audit Director for Audit Practices Wells Fargo</p> <p>Peter Kenow Audit Director, Innovation Wells Fargo</p> <p align="center">Technology Intermediate</p>
	<p>Idea Session</p>		
	<p>CS 7-1: Part 2</p> <p>Diversity, Equity and Inclusion- Why and Who Cares?</p> <p>Kimberly Ellison Taylor, CPA, CGMA, CITP, CISA Chief Executive Officer KET Solutions, LLC</p>	<p>CS 7-2: Part 2</p> <p>Citibank / Cyber Idea & Exchange Session</p> <p>Cal Waits Chief Auditor, Cyber Security and Resilience Citi Bank</p> <p>Marc Sabino Head of Innovation, Managing Director, Chief Auditor Citi Bank</p>	<p>CS 7-3: Part 2</p> <p>Applying Automation and Innovation to Drive Audit's Impact</p> <p>Erin Dunlap Chief Audit Director for Audit Practices Wells Fargo</p> <p>Peter Kenow Audit Director, Innovation Wells Fargo</p>
<p>Total CPE: 13.5</p>			

Tuesday, September 20

Tuesday, September 20