Opening Keynote: State of the Internal Audit Profession

Anthony Pugliese, CIA, CPA, CGMA, CITP
President and Chief Executive Officer
The IIA Global Headquarters

IIA President and CEO Anthony J. Pugliese will discuss issues currently shaping the internal audit profession, as well as provide updates on The IIA’s strategic plan and global operating model. He’ll share the latest developments on priority topics like ESG and cybersecurity, and explain what The IIA is doing to prepare practitioners and advance the profession’s interests in these areas. He’ll also provide a glimpse into the future of internal audit profession, explain how The IIA is helping to build that future, and outline the steps that internal auditors should take today to prepare themselves.

Anthony J. Pugliese is President and CEO of The IIA, focused on enhancing membership services, creating new approaches to learning and competency development programs, fulfilling The IIA’s multimillion-dollar digital transformation initiative, and enhancing the value of internal audit. Pugliese was previously President and CEO of the California Society of CPAs, where he led development of a Diversity, Equity, and Inclusion Committee to identify and address racial and other inequities in the accounting profession. Prior, he served in key leadership positions at what is now the Association of International Certified Professional Accountants. Pugliese has been repeatedly recognized as one of the most influential people in accounting, appearing most recently on the cover of Accounting Today.

NASBA: Auditing | Learning Level: Basic | CPE Credit: 1.5
GS 2: Lead Your Way Forward: Resilient Leadership for the New Economy

Ryan Estis
Culture, Leadership, Business Performance Expert

For an entire generation of business leaders, the actions they take now and in the months ahead will define them and their companies. Navigating this defining moment in the short term and preparing to capture opportunity in the long term will require a healthy mindset, critical decision making, and strong relationships. During this interactive virtual experience, Ryan Estis will leverage both his proprietary research and personal experience in leading a business through crisis and uncertainty. He will review lessons learned and provide very specific and actionable insight that participants can leverage to respond to short-term challenges and create momentum to capitalize over time.

In this session, participants will:
- Gain insights into downturn psychology and the window of opportunity.
- Assess the character traits of a “Futuremaker” and how to make the future happen.
- Hear about the coaching mindset, emotional resilience amid uncertainty, and the drivers of engagement and emotional commitment.
- Understand the “True North” leadership questions that create impact and discover the keys to effective leadership communication during a crisis.
- Receive a complimentary eBook, Adapt & Thrive.

Ryan Estis has more than 20 years of experience as a top-performing sales professional and leader. As the former Chief Strategy Officer for the McCann Worldgroup advertising agency, he brings a fresh perspective to business events. As a keynote speaker and consultant, Estis is known for his innovative ideas on leading change, improving sales effectiveness, and preparing for the future of work. He supports the world’s leading brands, such as AT&T, Motorola, MasterCard, Adobe, the National Basketball Association, the Mayo Clinic, Thomson Reuters, Ernst & Young, and Lowes. Estis is also the author of a popular blog on business performance. His writing has been featured in Inc., Forbes, Entrepreneur, and FastCompany magazines.

NASBA: Personal Development | Learning Level: Intermediate | CPE Credit: 1.5
CS 1-1: Assessing and Managing Fraud Risk in a Changing Environment: What Have We Learned and Where Are We Going?

Moderator:
Bruce Dorris, J.D., CFE, CPA
President and Chief Executive Officer
ACFE

Panelists:
James Rumph, CIA, CFE, CAMS, CPA
Senior Director, Enterprise Anti-Fraud
Nationwide

Additional Panelists' Being Finalized

The last few years have forced countless changes to the way most organizations do business, resulting in notable shifts in the fraud risk environment. This panel discussion will bring together executives from different parts of the business to discuss lessons learned and best practices for assessing and managing fraud risks as we move our organizations, our audits, and our fraud programs forward.

In this session, participants will:
- Gain insights into how they can best monitor emerging fraud risks and trends.
- Hear which tools and technologies are best for integration into fraud risk assessment and fraud risk management initiatives.
- Understand where using a formal framework to assess and manage fraud risks can help, and where it can hinder.
- Learn how to break down organizational silos and build a fully holistic approach to combatting fraud.

Bruce Dorris is President and CEO of the Association of Certified Fraud Examiners (ACFE) and an advisory member to the ACFE Board of Regents. In his 16-year ACFE tenure, he also served as Vice President and Program Director. Dorris has conducted anti-fraud training for the United Nations, World Food Programme, American Bankers Association, large accounting firms, and colleges and universities worldwide, as well as with the FBI, GAO, and other federal and state law enforcement agencies in the U.S. His expertise has

James Rumph currently leads Nationwide’s Enterprise Anti-Fraud Team, with responsibility for fraud risk governance, risk assessment, consulting, and monitoring across the enterprise. He joined Nationwide at the beginning of 2016 with a wide range of training and experience spanning many industries and fraud types, having led fraud prevention, detection, investigation, and other risk management activities as both an FBI and private forensic accountant, insurance special investigations unit (SIU) investigator, and beyond. Rumph also serves the anti-fraud community as Co-Chair of the Coalition Against Insurance Fraud’s Fraud Risk Management Task Force. He previously held various chapter leadership roles with ACFE.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**

CS 1-2: Sharpened Focus: AI Lets Your Data Speak

Rachel Kirkham  
*Vice President, Product and Data Science*  
MindBridge Ai

Major advancements in AI systems are letting the data speak for itself, showing you the path to risk, anomalies, and potential fraud. The future of a common ADA and continuous monitoring platform, used across the three lines, isn’t a fantasy. It’s here today and smarter than ever before, with capabilities to reconcile, link, match, and assess risks across systems and processes.

In this session, participants will:

- Gain an understanding of how far AI systems have come to support a more agile approach to the second and third lines of defense.
- Take home a framework to plan an AI implementation for agile planning, risk assessment, and building a new level of assurance.
- Learn how to increase efficiencies in audit and continuous monitoring while increasing effectiveness of work effort.
- Ask experts in rolling out AI systems and hear feedback directly from a progressive leader.
Rachel Kirkham joined MindBridge in January 2020 and delivers expertise at the intersection of data science and accounting. Previously, at the UK National Audit Office, she started as an Assistant Auditor and eventually rose to Head of Data Analytics Research. For 12+ years, Kirkham has bridged the gap between deep data analytics and complex market requirements, developing applications and visualizations that solve real problems in auditing and finance. She is an ACA-qualified chartered accountant, subject matter expert for the ICAEW, founding member of R-Ladies Global, and forum member of the Bank of England and FCA convened Artificial Intelligence Public Private Forum. Kirkham speaks often on the importance of diversity in STEM.

NASBA: Information Technology | Learning Level: Intermediate | CPE Credit: 1.2

CS 1-3: Promoting Diversity, Equity, and Inclusion to Improve Employee Retention and Close the Talent Gap

Shalim Matos, CIA, CRMA, CPA, CFE
Vice President and Chief Audit Executive
Serta Simmons Bedding

This session will cover how promoting diversity, equity, and inclusion (DEI) is not only a good thing to do, but also how it is good for business. The session will include an overview of studies that have shown how diverse and DEI minded organizations are more successful and have better retention.

In this session, participants will:

- Define DEI.
- Hear the benefits of promoting DEI and understand its impact on people and business today.
- Learn how to leverage data to make the case for DEI and its benefits, including improving employee retention and closing the talent gap.
- Gain insights into how organizations and audit groups can promote, support, and celebrate DEI.

Shalim Matos is the Senior Director and Head of Internal Audit at Serta Simmons Bedding, and she also serves as CFO for The IIA’s Atlanta chapter. Matos started her professional career providing financial audit services at KPMG and in 2011, she transitioned to the internal audit field, joining Westrock, formerly Rocktenn. In 2020, Matos was selected to complete an Executive Leadership Program with Harvard Business School and received an “Excellence Through Leadership” award from IIA-Atlanta.
CS 1-4: Lessons Learned Along the Road to ESG Assurance

Moderator:
Ruth Nouanesengsy, CIA, CFSA, CRMA, CPA, CGMA, COSO
Senior Manager, Internal Audit
Workiva

Panelists:
Melissa Clawson, CIA, CRMA
Chief Audit Executive
The Wendy's Company

Nancy Jordan
Vice President, Internal Audit
Cornerstone Building Brands

Tom Rams, CPA, CISA
Senior Director, SOX and Internal Audit
8X8, Inc.

Hear from a panel of audit leaders about the challenges they've encountered and the lessons they've learned as they help their organizations navigate the ESG landscape at various levels of ESG maturity. Panelists will share the obstacles they’ve faced, how they were overcome, and the things they wish they’d known when they started.

In this session, participants will:
- Understand common challenges facing internal auditors as they assess ESG risks and begin the pathway to ESG assurance.
- Discover how other practitioners have worked through and overcome challenges to auditing the ESG programs in their organizations.
- Learn what’s working and what’s not in organizations at various levels of ESG maturity that are “down the road” on their journey to ESG assurance.

Ruth Nouanesengsy has been leading the Internal Audit department at Workiva since October 2019. She has more than 18 years of continuously progressive experience in internal audit with a variety of service and manufacturing companies, including insurance,
automotive, food production, and technology. Her background has included compliance and operational audits, risk management, implementation of SOX and SOX-based programs, and process improvements for business and operational processes and internal audit processes.

**Melissa Clawson** is the CAE for Wendy’s and previously was at EY for 11 years in the Risk Advisory Services group. Her 16 years of internal audit experience has focused on SOX, financial, operational, and compliance audits across a wide variety of industries. An active member of The IIA, Clawson currently sits on the Central Ohio Chapter’s Board and previously served as the chapter’s Board Chair and President. She has also served on The IIA’s North American Learning Solutions Committee for five years.

**Nancy Jordan** has 30+ years of experience in internal audit, compliance, and risk management across various industries, including oil and gas, energy services, engineering and construction, and manufacturing. Prior to her current role as Vice President of Internal Audit at Cornerstone Building Brands, Jordan was responsible for worldwide internal audit activities for BHP Petroleum and auditing the global anti-corruption, business conduct, and trade sanctions processes. Previously, at PwC, she led internal audit co-sourcing and outsourcing engagements; assisted companies with Sarbanes-Oxley compliance; co-authored the annual PwC Internal Audit State of the Profession for 2009 and 2010; and helped develop the approach for the initial PwC Sarbanes-Oxley Management Guide in 2002.

**Tom Rams** is responsible for oversight of the SOX program, internal audits, and administration of the enterprise risk management program as Senior Director of SOX and Internal Audit at 8x8. He previously spent 11 years with PricewaterhouseCoopers LLP, providing assurance and advisory services. Rams is a Certified Public Accountant in Minnesota and a Certified Information Systems Auditor.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**
CS 2-1: *Session Information Being Finalized*

**NASBA:** | **Learning Level:** | **CPE Credit: 1.2**

CS 2-2: *Risk Sensing: Leveraging Key Risk Indicators and Analytics to Inform Where and What to Audit*

**Moderator:**
Mike Maali
US Internal Audit Solutions Leader
PwC

**Panelists:**
- Additional Panelists Being Finalized

Modernize the way internal audit assesses risk, determines scope, and audits differently by leveraging key risk indicators and analytics to deliver greater coverage and value to key stakeholders.

In this session, participants will:
- Learn how to identify key risk indicators and explain how to use them as part of a risk assessment and planning process.
- Hear examples of analytics and key risk indicators that can be used to identify higher risk audit entities and inform scope of work based on higher risk.
- Gain insights into the process for modernizing historically manual execution of testing with data analytics and full population analysis.
- Understand how to leverage analytics to provide more in-depth insights in the reporting process.

**Mike Maali** is a Risk and Regulatory Partner at PwC with 30+ years of experience delivering risk management, external audit, and internal audit services to leading global and national organizations. His expertise includes: implementing and optimizing enterprisewide risk management programs and systems, performing risk assessments for organizations across industries; advising clients on standing up their internal audit, risk management, and compliance functions; and counseling companies on transforming their existing functions to be strategically aligned with the business and other risk prevention...
lines of defense. Maali also offers best practices to embed data analytics and advanced technology into all components of risk management and control, and leverages PwC's deep industry and technical capabilities for enhanced insights in planning, executing, and reporting internal audit projects, risk management programs, and compliance testing solutions.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**

**CS 2-3: The Ethical Internal Auditor: Keeping an Eye Out for Your Blind Spots**

**Richard F. Chambers, CIA, QIAL, CCSA, CGAP, CRMA**
Senior Internal Audit Advisor
AuditBoard

Ethical resilience is often listed as one of the top attributes of high-performing internal auditors and audit leaders in organizations around the world. To earn and sustain trust in the organizations they serve, internal auditors must not only conform to the profession’s code of ethics, but they must also bring a strong moral compass to their role every day. Internal auditors must know and guard against “blind spots” – a temporary inability to see the ethical aspect of behavior or a decision that is being made.

In this session, participants will:

- Understand the concept of ethical behavior by audit professionals, as well as the inextricable link between culture and ethical behavior.
- Examine characteristics of ethical audit leaders, barriers to ethical behavior and the courage needed to overcome them, and blind spots that often compromise the ethical behavior of internal auditors.
- Explore how to identify and navigate blind spots and other challenges and opportunities awaiting internal auditors as they model ethical behavior in the organizations they serve.

**Richard Chambers** is the CEO of Richard F. Chambers & Associates, a global advisory firm for internal audit professionals, and also serves as Senior Internal Audit Advisor at AuditBoard. Previously, he served for over a decade as the President and CEO of The Institute of Internal Auditors (IIA), where he led the organization to record global membership and countless milestones. Prior, Chambers was National Practice Leader in Internal Audit Advisory Services at PwC and Vice President of The IIA’s Learning Center. He is a globally recognized blogger and award-winning author.

**NASBA: Behavioral Ethics | Learning Level: Basic | CPE Credit: 1.2**
Global commerce has been disrupted and roiled by a perfect storm of forces that have rapidly changed the environment for many worldwide financial institutions representing the core of transaction management behind global commerce. In this environment, financial institutions must become more risk agile and move from backwards-looking compliance measures to proactive risk management. Internal audit plays a significant role in safeguarding financial institutions as a top strategic partner of the board and C-suite.

In this session, participants will:

- Review the disruptive challenges global commerce is facing.
- Understand how increasing regulatory enforcement, geopolitical changes, and record-setting monetary fines have made adequate anti-money laundering (AML) risk management a significant obstacle for financial institutions globally.
- Discuss opportunities and immediate action items that financial institutions should consider to stay ahead of the curve and avoid regulatory criticism and potential sanctions.
- Receive actionable insights to effectuate immediate change within their organization.

Sven Stumbauer uses his extensive cryptocurrency, AML, sanctions and Foreign Corrupt Practices Act acumen as a senior financial crimes compliance professional and leader of Grant Thornton’s AML and Sanctions practice. With 20+ years of financial crimes, AML, sanctions compliance, anti-bribery, and anti-corruption experience, he has led complex, high-profile regulatory investigations and remediation programs. Stumbauer has also advised financial institutions and global businesses in the United States and 60+ countries worldwide on strategic initiatives, leading and streamlining processes, assisting businesses to achieve strategic goals and effective compliance, and collaborating with C-level executives and board members to evaluate, propose, and deliver effective change and transformation. Additionally, he has served as an independent monitor in prominent enforcement actions around the world.

NASBA: Specialty Knowledge | Learning Level: Intermediate | CPE Credit: 1.2
CS 3-1: Cyber Risk Excellence: Going Beyond Frameworks and Requirements

Brenda Bjerke, CISSP, CIPP
Senior Director, Cybersecurity
Target Corporation

The last few years have proven to be an extreme test for organizations as they quickly pivoted to a digital-first environment and faced new operational realities, including acceleration of cyber threats. This session will explore how to support evolving business priorities and create a risk-aware culture across the enterprise. Enabling strong collaboration between cyber risk and threat intelligence practices creates a meaningful, ongoing conversation about risk tolerance, program governance, and policy alignment to deliver on management expectations.

In this session, participants will:

- Discover how to develop a threat-focused cyber risk program.
- Hear tips for building a high-performing team with deep subject matter expertise and well-informed professional judgment.
- Understand how to create a risk-aware culture across the enterprise and enable the business to drive growth.

Brenda Bjerke is a Senior Director of Cybersecurity at Target, where she leads the Cyber Risk team and is responsible for the Cybersecurity Management Office, Information Security Policy, Training & Awareness, Vendor Security, Business Information Security Office, and Payments & Compliance (PCI, GLBA, SOX, HIPAA) teams for the enterprise. She joined Target in 2003. After Target’s data security incident in 2013, Bjerke played an important role in transforming Target’s security culture. She serves on the Executive Leadership Team for Target tech’s Diversity Action Committee to help ensure a culture of open dialogue, diversity, inclusion, and respect. Additionally, she serves as the Executive Women’s Forum (EWF) champion to advance women in security initiatives at Target and mentors several women in the tech industry. Recently, Bjerke has taken on a leadership role as an Ambassador to Cyversity to support the growth of a diverse workforce within cybersecurity.

NASBA: Information Technology | Learning Level: Intermediate | CPE Credit: 1.2
With the advancement of technology, the role of the traditional internal audit function is changing. Hear how the Northern Trust audit team partnered with the first and second lines of defense to establish a continuous assurance model over system access. Through rules-based analytics, business intelligence, and predictive analytics, key applications are monitored regularly. This enables the first and second lines to achieve risk-based coverage over system access and ensure compliance with external regulatory oversight.

In this session, participants will:

- Examine internal audit’s opportunities to partner with the business to achieve continuous assurance in lieu of sample-driven testing after the fact.
- Understand how audit and the first and second lines of defense can work together to achieve mutual objectives.
- Learn how various analytical techniques can be combined to offer a comprehensive risk profile.
- Discover how data analytics can be utilized to shift traditional control monitoring procedures into a continuous assurance framework.

David Malcom has 22 years of technology audit and risk consulting experience. Upon joining Northern Trust in August 2021 in the new role of Senior Vice President and Managing Director of Audit Services, he was tasked to build out a team with the skills and experience needed to assess risk and audit digital transformation programs and the
emerging technologies underpinning these efforts. Previously, as a Managing Director at Accenture for 15+ years, Malcom led the Global IT Audit and Data Analytics team and played a key role in transforming the Internal Audit department to become digital and data driven. Earlier in his career, he served as Chief Information Security Officer for a global hospitality company and spent several years with the Big 4.

**Dennis Bichsel's Bio Being Finalized**

**Jeffrey Johnson's Bio Being Finalized**

**Joe Gonski**'s career includes experience in both audit and data/technology settings. Starting as an external auditor with KPMG, he eventually became the internal audit data analytics lead for Accenture, developing their analytics capability from scratch. Gonski was recognized as the 2021 IIA-Chicago Innovator of the Year for the cutting-edge program developed at Accenture, which embedded data analytics into all phases of the internal audit cycle. Currently, he leads the data analytics function for Northern Trust Internal Audit.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**

**CS 3-3: Team Engagement: Creating Connection and Driving Results**

**Stacey Schabel, CIA, CPA**

**Chief Audit Executive**

**Jackson Financial, Incorporated**

In today's environment, team engagement is more important than ever. Whether your team is fully remote, hybrid, or in the office, creating connection is key to driving team retention, happiness, and results. This presentation will highlight time-tested techniques to ensure your team is more connected than ever and has fun delivering superior results.

In this session, participants will:

- Examine practical ways to create connections across their team, no matter their work locations, driving team engagement and results.
- Hear from team members on the results realized from using these techniques.
- Examine ways to assess team engagement and solidify action plans.

**Stacey Schabel** has 20+ years of audit and risk management experience, leading successful public company teams in the financial services industry. She oversees the JFI Internal Audit team in examining and evaluating key processes and controls supporting the operations of
Jackson Financial Inc. (JUN), including Jackson National Life Insurance Company. Schabel also assists Board, Audit, and Risk Committee members in protecting the organization's assets, reputation, and sustainability by leading assessment and reporting on the overall effectiveness of risk management, control, and governance processes. Passionate about furthering the internal audit profession and supporting the development of future leaders, she served for four years on The IIA’s Global Financial Services Guidance Committee and currently serves on The IIA’s Content Advisory Council.

**NASBA: Personnel/Human Resources | Learning Level: Intermediate | CPE Credit: 1.2**

CS 3-4: When Cash Is King: Risks in Cash-intensive Industries Like Cannabis

Chris Jeffrey, CPA  
Partner, Risk Advisory  
Baker Tilly

Jason Meneses  
Vice President, Internal Audit  
Jushi Holdings Incorporated

Joe Shusko, PMP, CISA  
Principal, IT and Cyber Risk  
Baker Tilly

Mumta Taneja, CRMA  
Senior Manager, Risk Advisory  
Baker Tilly

This session takes a deep dive into the top risks facing cash-heavy industries like cannabis and gaming. We will deliver insights and mitigation strategies, including firsthand experiences from a cannabis audit executive, into how to approach the challenges of cash, fraud, HR, regulatory, and cyber/IT risks and controls. You will walk away with a better understanding of how to address hazards that could be lurking in the blind spots of your growing business!

In this session, participants will:

- Gain an understanding of key risks facing companies in cash-intensive and highly regulated industries.
• Hear about tools, techniques, and leading practice strategies to address those key risks.
• Discuss internal audit’s role in supporting leadership and evaluating and addressing those key risks across the organization.

**Chris Jeffrey** leads Baker Tilly’s firmwide cannabis practice and the Illinois risk advisory practice. He has 20+ years of experience providing industry-specialized services in large and complex organizations across 20+ countries on four continents. His technical practice areas include Sarbanes-Oxley (SOX) compliance, co-sourced and outsourced internal audit, risk assessment, financial and operational risk management, internal controls, and organizational governance. Jeffrey has held multiple leadership positions within Baker Tilly, helping stand up numerous service lines, including outsourced internal audit, co-sourced internal audit, SOX compliance, and ESG. He speaks and writes regularly on topics related to risk management and the cannabis industry.

**Jason Meneses’s Bio Being Finalized**

**Joe Shusko** is a Principal in Baker Tilly’s Risk Advisory practice, based in its significantly expanding Chicago market. He has more than 20 years of team building and decision-making experience with a proven track record of reputable performance. As demonstrated throughout his career as a U.S. Marine Corps infantry officer, cybersecurity and information technology (IT) specialist, Shusko helps clients evaluate and mitigate their key cybersecurity risks, bringing significant expertise in IT audit, cybersecurity, IT SOX, and ERP control assessments.

**Mumta Taneja** is a Senior Manager in Baker Tilly’s Risk Advisory practice with 18 years of experience delivering risk advisory, Sarbanes-Oxley (SOX) compliance and internal controls, consulting, and internal audit services. She has a diverse industry background across cannabis, manufacturing and distribution, software and technology, and food and beverage organizations. Her expertise includes conducting enterprise risk assessments, auditing business processes, performing data analytics, determining SOX readiness, and implementing and evaluating SOX compliance.

**NASBA: Specialty Knowledge | Learning Level: Intermediate | CPE Credit: 1.2**
GS 3: The New Standards: Elevating the Internal Audit Profession for the Future

Dr. Lily Bi, CIA, CRMA, CISA
Executive Vice President, Standards, Guidance, and Global Certifications
The IIA Global Headquarters

J. Michael “Mike” Peppers, CIA, QIAL, CRMA, CPA
Chief Audit Executive
The University of Texas System

Paul Sobel, CIA, QIAL, CRMA
Chairman Emeritus
Committee of Sponsoring Organizations of the Treadway Commission (COSO)

Today’s rapidly evolving global landscape makes clear the need to revise the International Professional Practices Framework (IPPF) to better serve and elevate the internal audit profession globally. The IIA has undertaken an unprecedented project to review and revamp the IPPF and change the Standards. Through the new Standards, The IIA aims to transform the internal audit profession, ensure the professional practice of internal auditing, and evaluate internal audit’s impact on the organization and its stakeholders.

In this session, participants will:

- Hear about the progress of the IPPF Evolution project and how the IPPF is being simplified and streamlined.
- Gain insights on how the Standards are developed to stay current and relevant.
- Learn key changes to the new Standards as well as how to comment on the changes and implement the new Standards.
- Understand the impact of new Standards on professional practices and internal audit’s role in enhancing the organization’s sustainable value creation and protection.

Dr. Lily Bi has 30 years of experience in internal audit, risk management, IT, strategic management, and global credentialing in the United States, Japan, Singapore, and China. As Executive Vice President of Standards, Guidance, and Global Certifications at The IIA, she leads international standards and guidance setting for the global internal audit profession, as well as the organization’s unprecedented project to review and revamp the International
Professional Practices Framework. Dr. Bi also oversees all of The IIA’s global certifications and provides strategic direction to certification programs impacting 300,000+ candidates and certified individuals worldwide. She received her Doctor in Business Administration degree from the University of South Florida.

**J. Michael “Mike” Peppers** is Chief Audit Executive for The University of Texas System in Austin. His 30-year career began in public accounting and has included serving as CAE at U.T. MD Anderson Cancer Center and U.T. Medical Branch. Peppers was The IIA’s 2017–18 Chairman of the Global Board and 2012–13 Chairman of the North American Board; he currently chairs the International Internal Audit Standards Board, and has been President of the Houston and Florida West Coast Chapters. Peppers has written and co-authored auditing articles and speaks often on internal audit-related topics. He has been recognized as a Distinguished Faculty Member in The IIA’s volunteer faculty program, was inducted into the American Hall of Distinguished Audit Practitioners in 2019, and received the Victor Z. Brink Award for Distinguished Service in 2021.

**Paul Sobel** is Chairman Emeritus of COSO, having served as Chairman from February 2018 through December 2022. He retired from Georgia-Pacific in 2020, having served as Vice President/Chief Risk Officer and CAE. Sobel authored or co-authored *Managing Risk in Uncertain Times; Auditor’s Risk Management Guide: Integrating Auditing and ERM; Internal Auditing: Assurance and Advisory Services; and Enterprise Risk Management: Achieving and Sustaining Success.* He was The IIA’s Chairman of the Board in 2013–14 and held other IIA leadership roles. Sobel’s accolades include being named to *Treasury & Risk Magazine’s* 100 Most Influential People in Finance as well as being inducted into The IIA’s American Hall of Distinguished Audit Practitioners and receiving the Bradford Cadmus Memorial Award and Victor Z. Brink Award.

**NASBA: Auditing | Learning Level: Basic | CPE Credit: 1.2**
CS 4-1: *Session Information Being Finalized*

**NASBA: | Learning Level: | CPE Credit: 1.2**

CS 4-2: **The Internal Auditor of the Future**

Dawnella Johnson, CPA  
Internal Audit Services Leader  
Crowe LLP

Internal audit is in need of new innovation. The businesses we serve have changed radically — and are still changing. That means we need to change too. We’re proposing a totally new way of doing internal audit, which we believe will become the way of the future in as few as three years. This presentation will reveal the four “Pillars of the Future” that have transformed Crowe’s internal audit business. You’ll discover tangible ways to make your practice more human centered, leverage technology and data to improve your processes, and position yourself as a frontrunner in the new age of internal audit. The presentation, based on a popular webinar of the same name presented to The IIA last fall, will address questions and concerns around how to communicate the new approach to leadership and formulate an action plan.

In this session, participants will:

- Discover tangible ways to make their internal audit practice more human-centered.
- Take away steps to align project and process goals to the organization’s strategic roadmap to support a sharper business advocacy approach.
- Consider how data and technology can be leveraged to ensure continuous improvement and standardized activities.
- Identify ways to incorporate experience checkpoints at every stage of an internal audit and learn from best practices.
- Understand how to find insights and refine the internal audit methodology to improve outcomes.

*Dawnella Johnson* is a driving force in creating a culture of excellence, innovation, and inclusion. She has helped develop technologies and solutions that empower organizations to be more productive and profitable. Johnson was named to the 2022 Most Powerful Women in Accounting by the AICPA and CPA Practice Advisor. As one of the top leaders in
the accounting profession, her leadership has had a demonstrable effect upon the accomplishments of her organization. A mentor, sponsor, and role model, Johnson encourages those around her to thrive and flourish.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**

**CS 4-3: Internal Audit and Raising Awareness for DEI**

**Chris Caldwell**  
**Risk and Financial Advisory Manager**  
**Deloitte & Touche LLP**

**Lauren Shaw**  
**Senior Manager**  
**Deloitte & Touche LLP**

With DEI awareness rising worldwide, organizations that nurture a fair workplace will thrive. Internal audit has the skill set to help organizations track their DEI goals, advise on tackling cognitive biases, and accelerate building an all-inclusive culture. This session will focus on topics covered in the recently published series produced jointly by Deloitte, the Internal Audit Foundation, and The IIA on internal audit’s opportunity and obligation to nurture an inclusive culture by starting within its own function.

In this session, participants will:
- Learn more about the current DEI landscape.
- Discover how internal auditors can leverage their skills to support DEI initiatives within their organization.
- Understand how to continue fostering DEI within their own function.

**Christopher Caldwell** is a Manager within Deloitte Advisory with more than five years of risk advisory experience in the areas of Service Organization Control (SOC)/SSAE18 reporting, information systems, and Sarbanes-Oxley (SOX) readiness and compliance. He has served on SOX co-sourcing engagements, SOC 1 readiness assessments, SOC 1 and SOC 2/2+ assessments, and ITGC discovery engagements at consumer products, financial services, and technology, media, and telecommunications companies. Caldwell was also a firm DEI champion for “Diversity, Equity, and Inclusion (DEI) 101: Internal Audit’s Invaluable Role in Creating a Sense of Belonging at Work,” authored and published in collaboration with The IIA.
Lauren Shaw has been with Deloitte for more than 13 years, specializing in delivering risk and internal audit services in the health care industry. For the past five-plus years, she has worked to develop the “Future of Internal Audit” conceptual framework and led the implementation and transformation for internal audit departments. As part of her role in internal audit innovation, Shaw has focused on the concept of internal audit and the intangibles around topics such as ESG, culture risk, and diversity, equity, and inclusion (DEI). Recently, she co-authored Deloitte’s DEI placemat, “Internal Audit’s Role in Diversity and Inclusion,” which was published in The Wall Street Journal. Shaw is a frequent speaker on this topic, having presented at IIA meetings and industry events for internal auditors.

**NASBA: Personnel/Human Resources | Learning Level: Intermediate | CPE Credit: 1.2**

CS 4-4: Internal Audit’s Role in Avoiding the Perfect ESG Storm

Jim Pelletier  
Senior Product Manager  
Wolters Kluwer TeamMate

Michelle Hurley, CSPO  
Senior Product Marketing Specialist  
Wolters Kluwer TeamMate

A “perfect storm” is a particularly bad or critical situation, arising from a number of adverse and unpredictable factors. Savvy organizations recognize the potential disruption and impact that weather-related events and more broadly, ESG risks, can have on their businesses — employee safety, supply chain, sales/revenue, business continuity, and more. There is a need for internal auditors to understand and have a clear direction on how to identify, measure, report, and mitigate ESG-related risks.

In this session, participants will:
- Examine the scope of ESG, including key drivers, risk considerations, and how the risk landscape is rapidly evolving.
- Hear about the cause and impact of perfect storm scenarios and real-life examples.
- Explore skills and tools internal auditors need to identify and address ESG risk.

**Jim Pelletier’s Bio Being Finalized**

Michelle Hurley is a Senior Product Marketing Specialist for Wolters Kluwer TeamMate, where she develops and drives engaging marketing, customer outreach, and sales
enablement programs. She uses her experience working with audit departments to influence the product roadmap and gauge the value of TeamMate+ to help audit departments overcome the challenges facing their industry. Throughout her career, Hurley has held several senior roles in channel marketing and digital product marketing. She is a Certified Scrum Product Owner.

NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2
Mandatory sustainability reporting is upon us. Companies are expected to include disclosures on ESG topics in reporting to capital markets, including business-to-business disclosures within the enterprise value chain. Those who rely on these disclosures expect completeness and accuracy to make critical decisions, as they do with financial statement disclosures. Learn to help your organization through conducting a readiness assessment to evaluate systems, processes, and controls, including the underlying data. Find gaps and help your organization mature its sustainability maturity and reporting before an independent third party issues a qualified report that could damage your organization’s reputation.

In this session, participants will:

- Confirm the appropriate standards, frameworks, and disclosures for a sample of identified topics (e.g., DEI, GHG, Health & Safety).
- Discuss expected design of controls for sustainability topics and what the internal auditor needs to keep in mind that is different from financial statement disclosures.
- Gain insights into gaining comfort over the completeness, accuracy, and verifiability of ESG topics related to operational effectiveness testing.
- Hear about common gaps to keep in mind.
- Explore current/proposed guidance for assurance engagements other than audits of historic financial information, including what external verifiers will be considering in their audit of an organization’s sustainability disclosures.

Douglas Hileman helps clients with risk management, compliance, capacity building, and audits in the ESG space. He often provides ESG subject matter expertise to law firms, accounting firms, and IT and management consultancies. He draws on 40+ years of experience, including in industry, “second line auditing” (environmental, safety), supporting internal audit and external assurance. Hileman was the senior environmental
management and environmental auditing specialist on the Volkswagen Monitor Team. He worked at PwC for six years, beginning just as Sarbanes-Oxley was passed. He supported advisory and assurance engagements as sustainability SME. He has contributed ESG content at chapter, regional, and national conferences. Hileman is on a core team updating a report linking the COSO Internal Controls Framework to ESG – scheduled for release in early 2023.

Edward Olson is a Partner and the National Leader, Environmental, Social, and Governance with MNP LLP, where he assists clients with ESG sustainability awareness and adoption as well as provides ERM, internal audit, corporate governance, regulatory compliance, process efficiency, performance improvement, and value for money audits, working with executive leadership teams, boards, internal audit and compliance departments, and industry regulators. Olson previously led a Canadian public accounting firm’s Advisory Services practice, served as chief audit executive at a Canadian electric power and gas distribution/retail company, guided the transformation of an alternative energy company, and was a partner in a firm where he worked as an outsourced CAE for financial services clients.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**

**CS 5-2: Digital Internal Audit: The Upside and Risks of Extended Reality and Metaverse**

Michael Smith
Internal Audit and Enterprise Risk Partner
KPMG

Nana Amonoo-Neizer, CISA, CISM
Director, Technology Risk
KPMG

Stephanie Kim
Senior Director
KPMG

Extended reality (XR) refers to all real-and-virtual combined environments that enable tech-enabled interactions. The promise of XR is fueling predictions for once-unthinkable possibilities within the fast-emerging metaverse. By 2030, we could be spending more time in the metaverse than in the real world. However, as organizations race to embed these technologies into their business functions, there are many risk-related challenges they must
consider. Internal audit will be charged by stakeholders to identify and help respond to risks and make progress managing this emerging technology. There is a need for a comprehensive framework and crypto-specific capabilities to support this transformation and prepare for a changed future.

In this session, participants will:

- Be introduced to the metaverse and XR.
- Understand opportunities for organizations to harness the benefits of XR to drive business forward.
- Learn about potential upsides and risks regarding XR, steps organizations can take to turn risks into opportunities, and how to manage the risks to build stakeholder trust.
- Examine the internal audit function’s role in XR, including what we’ve learned from other technologies that internal audit can apply to this wave of innovation and how internal audit can know when to make bets in this space.

**Michael Smith** is an Internal Audit and Enterprise Risk Partner in KPMG’s Advisory business with 25+ years of experience leading audit, risk, and compliance programs. He leads a dedicated innovation team that works across the KPMG network to help clients execute against their core internal audit and SOX needs, drive risk management improvement, and advance core internal audit capabilities. His approach focuses on connecting the enterprise and internal audit agendas – across culture, people, technology, and process – to create a more valuable and resilient internal audit team. Smith serves as the U.S. Solution Lead for Internal Audit and previously served as the U.S. Lead of KPMG’s Intelligent Automation for Internal Audit and ICOFR Team.

**Nana Amonoo-Neizer** is a Director in KPMG’s Technology Risk (TR), focused on technology governance, risk, and controls engagements. He currently leads the KPMG TR Emerging Technology Risks Center of Excellence (COE) team in performing extensive external and internal technology research, partnering with industry thought leaders, and gathering lessons learned from various projects to enable the firm’s professionals to assist clients in addressing current and emerging risks on deployed technology solutions.

**Stephanie Kim’s Bio Being Finalized**

**NASBA: Information Technology | Learning Level: Intermediate | CPE Credit: 1.2**
Trust is not just an abstract concept to define and better understand; it has become a tangible and strategic priority for organizations across sectors and industries all over the globe. Simply put, we have entered a “Trust Age” — where a wide range of stakeholders hold organizations accountable for being trustworthy. Internal audit can play a critical role in helping bring the topic of trust into focus.

In this session, participants will:
- Learn about the impact of trust on organizational performance.
- Uncover the various factors influencing trust for organizations.
- Discover actions that organizations can take to better manage trust and build “trust equity” to improve performance, generate value, and build resilience.
- Gain perspective on the role internal audit can play in helping organizations manage trust.

Geoff Kovesdy leads risk assessment capabilities for Deloitte, bringing more than 17 years of experience in modernizing and transforming risk management activities across the three lines through digital capabilities. This includes developing and implementing strategy, facilitating workshops, and identifying and developing use cases. Kovesdy specializes in enhancing end-to-end risk management activities and programs.

Michael Bondar is a Principal in the Risk & Financial Advisory business, leading Deloitte’s Enterprise Trust offering. He focuses on helping clients improve organizational performance by building, protecting, and enhancing levels of trust for companies across a wide range of stakeholder groups. Bondar spent the first decade of his career leading business process transformation and ERP implementation programs for global clients in the consumer, life sciences, healthcare, technology, media, and telecom industries. Then, after spending time as a technology executive at a leading software company, he returned to Deloitte to lead Global Innovation efforts focused on developing, scaling, and commercializing the firm’s technology-based solutions.

**NASBA: Behavioral Ethics | Learning Level: Intermediate | CPE Credit: 1.2**
CS 5-4: Session Information Being Finalized

NASBA: | Learning Level: | CPE Credit: 1.2
CS 6-1: Ethical Dilemmas and Privacy Rights With the Use of Artificial Intelligence and Machine Learning Over Big Data for Chief Audit Executives

David Helberg, CIA, CRMA, CFE
Director, Internal Audit; Corporate Ethics and Privacy Officer
Cameco

Session Information Being Finalized

David Helberg is the Director of Internal Audit and the Corporate Ethics and Privacy Officer at Cameco. As a widely recognized and respected senior governance, risk, and audit leader, he has been a coach, mentor, and trusted business advisor to numerous professionals and a frequent lecturer on internal audit, ethics, and compliance. Along with a broad background in providing assurance and advisory services with a world-leading professional services firm and with large publicly traded organizations, Helberg has several years of marketing management experience. He specializes in the areas of governance, corporate privacy, corporate strategy and marketing, operational excellence, internal audit and controls, ERM, and leadership. He serves on The IIA’s North American Board.

NASBA: Behavioral Ethics | Learning Level: Intermediate | CPE Credit: 1.2

CS 6-2: Session Information Being Finalized

NASBA: | Learning Level: | CPE Credit: 1.2

CS 6-3: Case Study: Creating a Culture of Compliance – Why Compliance and Internal Audit Need to Partner

Benjamin Bard
Vice President, Global Chief Compliance Officer, and Chief Audit Executive
ADM

Traci Toohill, CIA, CRMA, CFE
Assistant Director, Internal Audit
ADM
Increasing expectations require internal audit functions to innovate, collaborate, and more closely align with business strategy – without compromising independence. Internal audit and compliance functions can provide heightened value to the organization by optimizing their collective talent, resources, competencies, risk insights, and institutional knowledge. This case study will provide practical, real-world examples of how the two functions can successfully partner together.

In this session, participants will:

- Examine how joint development of data analytics models can increase the effectiveness of compliance monitoring, fraud detection, and internal investigations support.
- Gain insights into aligning human capital strategy to upskill and cross-train compliance and internal audit professionals to deliver high performance within and across functional lines.
- Discover how to leverage common tools and platforms to deliver risk-informed training, communications, and awareness to foster a culture of compliance and a stronger control environment.

**Benjamin Bard** has 20+ years of legal, compliance, and risk management experience. He leads ADM’s worldwide compliance and internal audit functions as Vice President, Global Chief Compliance Officer, and Chief Audit Executive. Previously, as Senior Anti-corruption and Trade Sanctions Compliance Counsel at The Coca-Cola Company, Bard was responsible for managing global ethics and compliance programs. Prior, he held various corporate compliance and risk management roles in the financial services industry. Bard serves on the board of directors for the Ethics & Compliance Association and is a guest lecturer at Chicago-area law schools. He was named a Top Mind by Compliance Week in 2018. He received his J.D. from Case Western Reserve University School of Law.

**Traci Toohill** has 22+ years of experience as an internal auditor. As Assistant Director for the Global Internal Audit function at ADM, she serves on the ADM Internal Audit leadership and strategy team and partners with the Carbohydrate Solutions business. Previously, as Sarbanes-Oxley and External Audit Coordinator for ADM Internal Audit, Toohill was responsible for preparation and execution of the Global annual audit plan. She has worked on audit projects relating to financial, operational, and Sarbanes-Oxley at multiple Global ADM locations. In 2022, Toohill was elected as an IIA Chapter Relations Committee District Representative for the Central #2 region. She served twice as President of The IIA’s Central Illinois Chapter and on the Chapter Board of Governors for many years.

**NASBA: Specialty Knowledge | Learning Level: Intermediate | CPE Credit: 1.2**
Current market conditions are impacting enterprise strategy, governance, and business decisions. A perfect storm led to extreme volatility in 2022. Pandemic, supply chain, fuel supply, war, fiscal policy, and other risks impacted global economic uncertainty. We will highlight how to support the enterprise and mitigate these risks, as well as where to provide assurance during these challenging times. Areas where internal audit can help include governance, models, expenses, product pricing, and communications.

In this session, participants will:
- Gain perspective on current market conditions and inflation.
- Understand and discuss key impacts to the banking capital markets and insurance sectors, including enterprise strategy, governance forums, and external reporting.
- Explore internal audit’s impact and how internal audit should plan for enhanced coverage.

Jim Gannon is a Managing Director in the Consulting Services practice of Ernst & Young LLP. He has more than 20 years of experience in the financial services industry, specializing in internal controls, quality reviews, enterprise risk management (ERM), model risk, risk culture, risk conduct, risk appetite, and corporate governance. His background includes extensive work with banks, government sponsored entities (GSEs), depositories, exchanges, clearing houses, investment banks, investment advisors, and broker-dealers.

Karl Erhardt is a New York area based Managing Director in the Financial Services Organization’s Business Consulting service line of Ernst & Young LLP. He is a leader in the enterprise risk management and internal audit competencies, with a focus on the insurance sector. Erhardt joined EY in January 2021 after a multi-decade career serving as Chief Auditor and a C-suite executive for a Fortune 50 leading global provider of insurance protection, retirement, and savings solutions. Additionally, he led a global data project to synthesize data governance and data management practices.

NASDAQ: Economics | Learning Level: Intermediate | CPE Credit: 1.2
CS 7-1: Future of Internal Audit Data Analytics: Artificial Intelligence, Machine Learning, and RPA

Francisco Aristiguieta, CIA
Manager, Data Analytics
Citizens Property Insurance Corporation

Ajay Kumar, CPCU, CISSP, TOGAF, CISA, CRISC, CGEIT, ITIL
Director, IT Internal Audit
Citizens Property Insurance Corporation

This session will present an overview of data analytics within internal audit data, as well as examine both the current state and future of audit data analytics. It will explore the value derived from artificial intelligence (AI), machine learning (ML), and robotic process automation (RPA), and provide live examples of AI, ML, and RPA.

In this session, participants will:
- Understand how data analytics is impacting the internal audit profession.
- Distinguish emerging technologies, such as AI, ML, and RPA, and the value derived from their use in internal audit.
- Develop ideas for AI, ML and RPA use cases in internal audit.
- Visualize AI, ML, and RPA in action.

Francisco Aristiguieta is a strategic and innovative leader at Citizens Property Insurance where he is currently responsible for internal audit data analytics. Proficient in key data analytics tools for ETL, visualization, and RPA, Aristiguieta has supported professional associations and user groups by giving presentations on the design and implementation of data analytics programs. In addition, he has shared his vision for internal audit analytics in multiple Internal Auditor magazine articles and IIA blog posts.

Ajay Kumar is a Director of IT Internal Audit with Citizens Property Insurance Corporation, where he leads a highly skilled and passionate team focused on IT internal audit and data analytics, including AI/ML. As a thought leader with 20+ years of progressive experience in IT, internal audit, information security, enterprise architecture, and IT governance, Kumar has worked in various industries, including management consultancy, financial services, and insurance. He has created data analytics strategies at various organizations...
and led teams to implement the strategies since their inception. Kumar frequently speaks at conferences and local chapter meetings about IT, internal audit, and data analytics.

**NASBA: Information Technology | Learning Level: Intermediate | CPE Credit: 1.2**

**CS 7-2: Adding Big Value With Small Audit Teams**

Sarah Duckwitz  
**Director, Internal Audit**  
**CrossFirst Bank**

Small audit departments can add value to their companies while still providing assurance. This session will share strategies and examples for how audit teams can be a competitive advantage for their company, even with limited resources. Included will be a discussion on leveraging technology in small audit teams for assurance and advisory.

In this session, participants will:
- Discover how to effectively combine advisory into their assurance engagements.  
- Learn how to identify and plan for special projects as well as how to showcase results and impact when complete.  
- Gain insights into leveraging technology for value-add projects and audit coverage.

**Sarah Duckwitz** is Director of Internal Audit at CrossFirst Bank, a publicly traded bank with over $7 billion in assets. In addition to leading internal audit departments at two other financial institutions, she has worked in numerous industries, including retail, energy, architecture, construction, and consulting. With 20+ years of experience in small internal audit departments, Duckwitz knows the meaning of “small but mighty” and has developed a solid reputation for building strong relationships across departments, including finance, operations, information technology, and compliance. Whether building risk strategies or providing information and insights to key stakeholders in small or large firms, she has leveraged small teams to accomplish big objectives. Duckwitz is also a longtime volunteer with The IIA’s Kansas City Chapter.

**NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2**
CS 7-3: The 10 Characteristics of Exceptional Internal Audit Leaders

Sue Ulrey, CIA, QIAL, CRMA, FCA, CFE, CRISC
Executive Director
Morgan Franklin

Internal audit functions with highly effective leadership perform better and add greater value to their businesses. Most research supports this claim. Our session will explore what effective leadership looks like and why it makes such a difference. In addition to identifying the top 10 key characteristics that the most effective internal audit leaders exhibit, we will evaluate how these characteristics correlate to internal audit’s ability to add more value and drive enhanced performance.

In this session, participants will:
- Describe and understand the characteristics of exceptional internal audit leadership.
- Identify opportunities to enhance internal audit value by leveraging these traits within their own organization.
- Develop a framework and action steps to deliver improved performance in their own organization.

Sue Ulrey serves as Executive Director in Risk Advisory Services at MorganFranklin Consulting, focused on serving the financial services and healthcare markets. Since joining the firm in 2021, she has led the Quality Assessment Reviews practice. Ulrey also oversees client service delivery and business and people development efforts, drawing on her 20+ years of experience in internal audit, ERM, and external QARs, and contract, regulatory, and SOX compliance. She has presented at 65+ professional and industry conferences. Ulrey currently serves on The IIA’s Professional Certifications Board and North American Nominating Committee. Prior IIA roles included Exam Development Committee Chair, Global Nominating Committee member, Research Foundation Trustee, and Board of Research Advisors Chair.

NASBA: Personnel/Human Resources | Learning Level: Intermediate | CPE Credit: 1.2
This presentation will examine a case study of how a macro-risk assessment process was enhanced by using data, which enabled the internal audit function to be more objective when risk-ranking auditable entities for audit coverage.

In this session, participants will:

- Explore how using data can help identify key risk indicators.
- Understand how to create and maintain a corporate risk assessment.
- Discover how a new risk assessment can be used to set up the annual audit plan.

**Ben Getz** is a Director of Internal Audit Services at RLI Corp. He has been with RLI since 2011 and has served as RLI’s lead IT auditor since 2015. Prior to RLI, he worked as an external auditor in public accounting. Getz has achieved numerous designations to further his professional career.

**Pat Ferrell** has 24 years of audit experience. As Vice President of Internal Audit Services at RLI Corp., he oversees the assurance and advisory audit function and also holds responsibility for Sarbanes-Oxley administration. Prior to RLI, Ferrell worked in audit and claims at State Farm Insurance. He has presented at numerous conferences, including The IIA’s Financial Services Exchange, IIAG, MIS SuperStrategies, MIS AuditWorld, and ISACA’s CACS. He currently serves as a board member for The IIA’s Central Illinois chapter. Ferrell also has served on a number of local nonprofit boards, including Heart of Illinois Big Brothers Big Sisters and Neighborhood House.
CS 8-3: The Autistic Auditor: Audit Leadership With Asperger's

Nancy Yuen
Director, Global SOX and Audit Compliance
SoFi Technologies

Session Information Being Finalized

NASBA: | Learning Level: | CPE Credit: 1.2

CS 8-4: Session Information Being Finalized

NASBA: | Learning Level: | CPE Credit: 1.2
GS 4: RETHINK. REBOOT. REINVENT. An Innovative Framework to Navigate Volatile Times

Josh Linkner
Creative Troublemaker

Over the past few years, the world as we knew it was turned upside down. From the COVID pandemic to the war in Ukraine to inflation, the resulting shock waves have ushered in a new era of accelerated transformation impacting the global economy, supply chain, and workforce. Successful models of the past have been rendered obsolete, requiring leaders to rethink their strategy. Five-time tech entrepreneur, New York Times bestselling author, venture capitalist, and world-renowned innovation expert Josh Linkner will help leaders respond to volatility with an elevated capacity for inventive thinking and creative problem-solving through his battle-tested Reinvention Framework.

In this session, participants will:
- Hear about the core mindsets, habits, and tactics of the most innovative leaders on the planet.
- Be reenergized and fueled with inspiration and energy to forge ahead in uncertain times.
- Be reequipped and armed with a proven framework and specific tools to reinvent a new future.
- Be ready and emboldened to meet the challenges of the day and drive sustainable success.

Josh Linkner is a creative troublemaker. He started his career as a jazz guitarist and then went on to become the founder and CEO of five tech companies, which sold for a combined value of more than $200 million. He is also a deeply experienced business leader, venture capitalist, top-rated keynote speaker, New York Times bestselling author, and professional jazz guitarist. He is a world-renowned expert on innovation, disruption, and hyper-growth leadership.

NASBA: Personal Development | Learning Level: Basic | CPE Credit: 1.5
Closing Keynote: Session Information Being Finalized

NASBA: | Learning Level: | CPE Credit: 1.2