



**AuditSphere:
A Conference for Small Audit Teams
Virtual Conference**

7:00–8:30 a.m. PT | 9:00–10:30 a.m. CT | 10:00–11:30 a.m. ET

Opening Keynote: 5 Signs Your Last Internal Audit Made a Big Impact

Richard F. Chambers, CIA, QIAL, CCSA, CGAP, CRMA
Senior Internal Audit Advisor
AuditBoard

Risks are increasing exponentially, and internal audit resources are not keeping pace. Delivering impactful internal audit results has become an extraordinary challenge in the 2020s – particularly for small audit departments. This presentation will explore characteristics of high-impact internal audits, signs that your last audit made a big impact, and ways trusted advisors impact the organizations they serve.

Richard Chambers is the CEO of Richard F. Chambers & Associates, a global advisory firm for internal audit professionals, and also serves as Senior Internal Audit Advisor at AuditBoard. Previously, he served for over a decade as the President and CEO of The Institute of Internal Auditors (IIA), where he led the organization to record global membership and countless milestones. Prior, Chambers was National Practice Leader in Internal Audit Advisory Services at PwC and Vice President of The IIA's Learning Center. He is a globally recognized blogger and award-winning author.

NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.8
Prerequisite: General knowledge of data privacy requirements



CS 1: Tips and Tricks for Applying the IPPF in a Small Internal Audit Function

Doron Ronen, CPA, CIA, CRMA, QAR, CRISC, CSX-F, CDPSE, CFE, MA, LLM
President
The IIA, Israel

Harriet Richardson, CIA, CGAP, CRMA, CPA
Inspector General
BART

Lynn Moehl, CIA, CRMA, CPA
Chief Audit Executive and Director, Internal Audit
The IIA, Global Headquarters

Pamela J. Stroebel Powers, CIA, CGAP, CRMA, CPA
Director, Professional Guidance, Public Sector
The IIA, Global Headquarters

North American respondents to the 2023 Pulse of Internal Audit survey indicated that 19% were from internal audit functions comprised of only 1–3 audit staff and an additional 34% were sized between 4–9 staff; it is likely this statistic is similar all around the globe. The International Internal Audit Standards Board kept this in mind while working through proposed revisions to the IPPF, including the new *Global Internal Audit Standards*[™]. However, public comment indicated that there are challenges to implementing and maintaining conformance with some *Standards* in a smaller function. This session will focus on the revisions to the IPPF and how a small internal audit function can navigate some of these challenges and seek to meet the purpose of internal auditing while maintaining efficient and effective internal audit practices and providing quality assurance and advisory services to their organizations.

In this session, participants will:

- Be introduced to some of the new *Standards* contained within the *Global Internal Audit Standards*.
- Examine some of the challenges facing small internal audit functions, including within the public sector, in implementing and maintaining conformance with the *Standards*.
- Hear about leading practices for conformance and enhancing the quality of internal audit products to both private and public sector organizations with minimal internal audit staff.
- Discuss opportunities for small functions to identify weaknesses in conformance with the *Standards* and plan for improvements.

Doron Ronen

Harriet Richardson



Lynn Moehl is the CAE and Director of Internal Audit at The Institute of Internal Auditors, where she is responsible for setting the strategic direction of the internal audit function, developing risk-based audit plans, and providing assurance and advisory services to the organization. Previously, as Senior Internal Auditor at Houghton Mifflin Harcourt Publishing and Internal Audit Manager with Darden Restaurants, she was responsible for evaluating and reporting on the overall effectiveness of risk management, control, and governance activities of those organizations. With 30 years of experience in internal and external audit, business finance, and accounting, she is skilled in forecasting, corporate governance, Sarbanes-Oxley compliance, corporate reporting, risk management, and control processes.

Pamela J. Stroebel Powers came to The IIA in April 2021, bringing 22 years of experience as an internal auditor in five Oregon State Government agencies; she served as CAE for four, established the first in-house internal audit activity in two, and coordinated the State's internal audit activities in one. Other roles included founder of Powers CPA, LLC and external auditor with the Secretary of State's Audits Division. Additionally, Stroebel Powers has been a contributing faculty member at Willamette University's Atkinson Graduate School of Management since 2010, and has provided training on ethics, risk management, and auditing to agency staff, management, and professional organizations. An active IIA member, she has served as a course facilitator and held various leadership and committee positions.

NASBA: Auditing | Learning Level: Basic | CPE Credit: 1.2
Prerequisite: None



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9:50–10:50 a.m. PT | 11:50 a.m.–12:50 p.m. CT | 12:50–1:50 p.m. ET

CS 2: Using Automation to Do More With Less

**Rick Walke, QIAL, CRMA, CPA
Vice President, Internal Audit and Risk Management
FORUM Credit Union**

Audit functions today are being asked to do more with less. Automation will allow your function to gain efficiencies and actually do more with less. This presentation will provide small audit functions with ideas for automating their audit functions and doing more with less.

In this session, participants will:

- Discover what types of automation are available.
- Gain insights into how automation can make you more efficient.
- Examine how to overcome challenges to automation.
- Learn how to move to the next steps.

Rick Walke has 35+ years of experience in internal audit and risk management, including 25+ years as a chief audit executive. His background includes internal audit leadership and marketing, information technology, retail banking operations, information security, risk management, and investigations for both small and large banks and credit unions. Walke has established multiple IIA-conforming internal audit functions. He is a past IIA chapter President and currently serves on the Board of Governors for IIA–Indianapolis. Walke has served on IIA Global’s Examination Development Committee and is currently Vice Chair of that committee.

**NASBA: Auditing | Learning Level: Basic | CPE Credit: 1.2
Prerequisite: None**



CS 3: Make Your Internal Audit Dollars Count: Getting the Most Out of (or Obtaining) a Co-Source Budget

Brian Tremblay, CIA, CISA
Compliance Practice Leader
Onapsis

Even with internal audit budgets largely returning to pre-pandemic levels, every dollar counts as companies navigate what feels like never-ending economic uncertainty. Business complexities (and the difficult hiring market) make internally staffing the expertise needed impossible. And to outsource it all would be cost prohibitive. So where does this leave us? With co-sourcing of course! Co-sourcing provides the “best of both worlds” as it relates to managing not only the complexities organizations face, but also navigating the difficulties faced in hiring internal auditors. And while people are the common consideration in co-sourcing, technology also has a role to play in how we distribute the challenging work facing internal auditors.

In this session, participants will:

- Understand the challenges internal audit departments face that mandate a co-source relationship.
- Hear about various ways co-sourcing models can be leveraged, including internal co-sourcing (i.e., partnering with other departments in the organization).
- Explore the role that technology can play as a “co-source” partner.
- Learn how to determine what model is best for their audit department.

Brian Tremblay works closely with information security professionals seeking to leverage cyber technologies for audit and compliance use cases, facilitating new ways to think about technologies that can be disruptive to the profession and enable audit and compliance leaders everywhere. He has spoken at many IIA conferences.

NASBA: Auditing | Learning Level: Basic | CPE Credit: 1.2
Prerequisite: None



CS 4: Critical Success Factors for Managing Contract Auditors

Deidre Melton CFE, CIA, CISA, CISM, CDPSE, CRISC, CRMA, CIG, CIGI
Associate Vice President for Audit and Chief Risk Officer
Florida Agricultural and Mechanical University

In today's world, small audit shops are regularly relying on contract auditors to meet organizational demands. Managing contract auditors efficiently is paramount to ensure fiscal responsibility and compliance. This presentation delves into the critical success factors that can make or break your contract auditor management strategy.

In this session, participants will learn:

- **Strategic Planning:** Learn how to align contract auditor engagements with your organizations' strategic goals and objectives. Discover methods to prioritize audit areas and allocate resources effectively.
- **Vendor Selection:** Explore best practices for selecting and onboarding contract auditors. Learn how to evaluate their qualifications, expertise, and track record to ensure a seamless partnership.
- **Contract Management:** Understand the importance of well-crafted contracts and service-level agreements. Discover strategies for monitoring performance, setting clear expectations, and managing contract auditor relationships.

Deidre Melton is the Associate Vice President for Audit and Chief Risk Officer at Florida A&M University. With a career spanning over two decades, Deidre's expertise in audit, risk management, and information technology is unparalleled. In her current role, Deidre provides daily strategic direction and supervision for audit, advisory, and investigation services at Florida A&M University. Her leadership extends beyond the immediate scope of her position; she serves as a trusted advisor to university leadership and leads the charge in enterprise risk management efforts across the institution.

Beyond her pivotal role at the university, Deidre is active in both the audit and tech professional communities. She holds influential positions on various local, state, and national boards and committees and regularly serves as a speaker to a variety of professional organizations. Deidre's dedication and contributions to the profession of internal auditing in higher education were recognized in 2021 when she received the ACUA's Outstanding Professional Contributions Award.

NASBA: Auditing | Learning Level: Intermediate | CPE Credit: 1.2
Prerequisite: