



7:00 AM – 8:30 AM PT | 9:00 AM – 10:30 AM CT | 10:00 – 11:30 AM ET

Opening Keynote: ESG: The Evolving Regulatory Landscape

Granville Martin
Head of Americas Policy and Outreach
Value Reporting Foundation

Robert King, CPA, CFE, CISA
Corporate Vice President and Chief Audit Executive
FedEx

Marie Johnson, CPA
Partner
EY

Are you monitoring the evolving ESG landscape and the proposed SEC climate rule? What should internal audit be asking now to assess the risk and provide assurance as ESG continues to evolve? Consider ESG from three different perspectives, including Granville Martin from the Value Reporting Foundation, Robert King from FedEx, and Marie Johnson from EY, as we explore the evolving ESG landscape.

Granville Martin leads engagement with US and Latin American regulators, corporations, and trade associations as Head of Americas Policy and Outreach at the Value Reporting Foundation. Previously, as Senior Vice President for Policy and Advocacy and General Counsel at the Society for Corporate Governance, he led advocacy efforts related to corporate governance and securities law/regulation. Prior, as a Managing Director of Sustainable Finance for JPMorgan Chase & Co., Martin led a range of ESG-related initiatives, including working with investment and commercial banking units to manage environmental and social risks, engaging with clients and external stakeholders, and advising senior management and the board. Earlier in his career, he served the Office of the Counsel to Governor George Pataki of New York and represented the Financial Services Forum.

Robert King is the Corporate Vice President and Chief Audit Executive at FedEx Corporation, a US \$90 billion transportation industry leader. In his role, he is responsible for leading and directing a global internal audit organization covering more than 220 countries worldwide. His primary function is to proactively and independently identify and assess key business risks for the corporation in direct support of executive management and the audit committee. King serves on the Audit and Finance Committee and on the Cyber and Technology Oversight Committee of the FedEx Board of Directors.

Marie Johnson brings nearly 25 years of experience in SOX, material weakness remediation, and ESG reporting to lead the EY East ESG Risk Markets business in helping public companies with their global



ESG strategies. Adept at aligning auditor requirements with company expectations, she is frequently referred to as the “translator” by her clients. Johnson’s expertise encompasses activation around ESG processes, controls, and enabling technology, including SEC reporting. Previously, in her five years in the EY National Office, she worked on PCAOB remediation task forces and led the firm’s implementation of audit matters for the revenue recognition standard (ASC 606). Johnson presents frequently at conferences and industry events.

NASBA: Specialty Knowledge | Learning Level: Basic | CPE Credit: 1.8

8:40 – 9:40 AM PT | 10:40 – 11:40 AM CT | 11:40 AM – 12:40 PM ET

Session 1: ESG Q3 Update: Where Do Things Stand?

Robert B. Hirth, Jr.

Senior Managing Director, Protiviti

Chairman Emeritus, Committee of Sponsoring Organizations of the Treadway Commission (COSO)

Co-Vice Chair, SASB Standards Board; Sector Chair, Technology & Communications

ESG is moving fast and evolving quickly. New regulations and standards are emerging and being finalized. New requirements are part of the process. Both risks and opportunities are increasing.

In this session, participants will:

- Gain insights into proposed SEC rules on climate-related matters.
- Examine the end of the Sustainability Accounting Standards Board (SASB) era as a standalone organization.
- Get updates on International Sustainability Standards Board (ISSB) Standards 1 and 2.
- Explore 2022 priorities for institutional investors.
- Hear about COSO’s project on applying the 2013 Internal Control Framework to sustainability.
- Understand reporting trends, assurance, and leading practices.

Bob Hirth was appointed to the nine-member standard setting board of the Sustainability Accounting Standards Board (SASB) upon its formation in 2017 and serves as a vice chair of the board. He currently heads SASB’s technology and communications sector committee and is a member of the services, healthcare and extractive and minerals processing sector committees. Serving as COSO chair from June 2013 to February 2018, Hirth’s activities included leading COSO’s project on revising its Enterprise Risk Management Framework, which was released in September 2017; issuing COSO’s Guide on Fraud Risk Management; and actively promoting COSO’s 2013 Internal Control Integrated Framework around the world and through the media. He initiated COSO’s guidance on ERM/ESG integration, which was issued in 2018. He has worked on assignments and made presentations in 20+ countries, serving 50+ organizations and working closely with board members, C-level executives, university professors, finance and accounting personnel, and public accounting firm partners and employees.



He is a senior managing director of Protiviti, a global internal audit and business risk consulting firm that operates in 22 countries. Prior to that, he was executive vice president of global internal audit and a member of the firm's six-person executive management team for the first ten years of Protiviti's development. In 2013, Hirth was inducted into The IIA's American Hall of Distinguished Audit Practitioners. In 2014 and 2015, he served as the chairman of The IIA's IPPF re-look task force.

NASBA: Specialty Knowledge | Learning Level: Basic | CPE Credit: 1.2

9:50 – 10:50 AM PT | 11:50 AM – 12:50 PM CT | 12:50 – 1:50 PM ET

Session 2: Building Confidence in ESG Disclosures

Kristin Proos

**Director, Global Finance Strategy & ESG
Whirlpool Corporation**

Lindsay Kennedy

**Senior Manager ESG Reporting
Whirlpool Corporation**

From greenhouse gas emissions and waste intensity to diversity metrics and supplier audits, the breadth of potential ESG disclosures is quite wide. And the ecosystem of ESG reporting regulations, ratings, rankings, indexes, and disclosure frameworks is evolving rapidly. Learn how Whirlpool Corporation addressed these challenges by creating a cross-functional ESG Task Force, a strong governance structure, and a collaboration with both internal and external auditors to enhance controls.

In this session, participants will:

- Gain an understanding of a type of ESG governance structure.
- Discover ways to report on ESG material topics with confidence.
- Understand how to create an ESG controls gap analysis and action plan.

Kristy Proos is Director of Global Finance Strategy & ESG for Whirlpool Corporation, accountable for non-financial reporting and controls, prioritization of ESG Task Force efforts, enhanced disclosures, ESG Council updates, ESG ecosystem monitoring, and effectively communicating Whirlpool's ESG strategy, goals, objectives, and results both internally and externally. She is also responsible for executing on Whirlpool's Global Finance Strategy, focusing on automation, process optimization, and unleashing talent. From 2018 to 2020, Proos also served as co-lead of the U.S. Women's Network to make Whirlpool the best place for women to work by creating an inclusive and equitable environment through engagement, development, mentorship, and supportive allies. She was previously a Financial Analyst with Kraft Foods and an Internal Auditor with Western Atlas, Inc.



Lindsay Kennedy is Senior Manager of Finance & Non-Financial Reporting - ESG for Whirlpool Corporation, accountable for non-financial reporting and controls of the company's annual Sustainability Report, monitoring the ESG ecosystem, and effectively communicating Whirlpool's ESG strategy, goals, objectives, and results both internally and externally. Previously, as an Assurance Manager at Ernst & Young (EY), she gained experience with SEC reporting, project management, SOX, internal controls, and cross-functional collaboration.

NASBA: Specialty Knowledge | Learning Level: Basic | CPE Credit: 1.2

11:20 AM – 12:20 PM PT | 1:20 – 2:20 PM CT | 2:20 – 3:20 PM ET

Session 3: ESG Risk and Compliance Management: Current Trends and the Future of Sustainability

Kena Pitts
Compliance Manager
Sila

We will explore the facets and significance of ESG, as well as what's happening today across the spectrum, what challenges exist in this environment, and what we as compliance, risk, and audit professionals should have on our radar moving forward.

In this session, participants will:

- Distinguish between ESG, CSR, and SRI and define the components of ESG.
- Describe current trends in environmental, social, and governance spaces, with particular focus on supply chain expectations.
- Gain an understanding of key challenges, best practices, tools, and assessments.

Kena Pitts is a Compliance Manager at Sila with expertise in BSA/AML, sanctions, ERM, and FinTech and regulatory compliance. A versatile and highly knowledgeable global industry leader, process improver, and compliance executive with 15+ years of experience, her teams have designed and delivered elegant solutions to complex operational challenges in compliance and risk. Pitts has a reputation for quality and innovation, along with an extensive background in BaaS (Banking as a Service), open banking, high-risk merchant onboarding, high-risk customer risk assessments, payments compliance, and best-in-class internal controls. Selected as one of the Birmingham Business Journal's 2021 Top 40 Under 40, she is a highly sought-after speaker on topics such as AML, FinTech, ESG, and sustainability.

NASBA: Specialty Knowledge | Learning Level: Basic | CPE Credit: 1.2



12:30 – 1:30 PM PT | 2:30 – 3:30 PM CT | 3:30 – 4:30 PM ET

Closing Keynote: Creating an effective ESG Strategy from Zero to Execution

Kathy Varol
Purpose Strategy Expert, Author

Environmental, Social, and Governance (ESG) continues to grow in importance every year. A thoughtful ESG strategy can attract top talent, boost business performance, ensure regulatory compliance, and future-proof your company. Kathy Varol helps businesses create an effective ESG strategy that drives real change across the metrics that matter to their business. Through captivating stories and real-world business examples, she will share a step-by-step strategic process to help your company set the ESG gold standard for your industry.

In this session, participants will:

- Learn a 4-step process to will take their company from zero to ESG strategy, process, and reporting (with a checklist for key elements in each step).
- Consider the symbiotic relationship between their ESG strategy and a business-integrated purpose.
- Understand the importance of aiming high when setting ambitions.
- Consider an often overlooked assessment when determining ESG priority topics.

Kathy Varol is an expert at driving profit with purpose. Having created the Global Purpose (corporate social responsibility) strategy for adidas, a \$22 billion global company, she now helps her clients use purpose to stand out in a competitive environment, attract the best talent, gain consumer loyalty, and fully harness the innovative brainpower of their employees to keep miles ahead of the competition. With 20 years of experience across brand marketing, strategy, and impact, working at established brands like adidas, MillerCoors, and Microsoft, Varol has developed the Brand Purpose Model, a repeatable four-step process to become a purpose-led brand. She brings her wealth of expertise to move companies beyond outdated corporate social responsibility approaches and into the new era of business integrated conscious capitalism.

NASBA: Specialty Knowledge | Learning Level: Basic | CPE Credit: 1.2