|  |
| --- |
| **Exhibit CS3-3****Risk Factor Approach – Weighting and Scoring** |
| **Risk Factor** | **Score** | **X Weight** | **Weighted Score** |  |
| **External Risk Factors** |  | 10 |  |  |
| 1. Assets at Risk
 |  | 10 |  |  |
| 1. Visibility
 |  | 10 |  |  |
| 1. Complexity
 |  | 10 |  |  |
| 1. Size of Process/Operation
 |  | 10 |  |  |
| 1. Legal/Regulatory/External Requirements
 |  | 10 |  |  |
| **Total External Risk Score** |  |
| **Internal Risk Factors** |  |  |  |  |
| 1. Internal Control Stability
 |  | 10 |  |  |
| 1. Internal Control Effectiveness
 |  | 10 |  |  |
| 1. Significant Changes in Business Operations, Process, Personnel, or Technology
 |  | 10 |  |  |
| **Total Internal Risk Score** |  |
| **Other Factors** |  |  |  |  |
| 1. Management condition or concern
 |  | 10 |  |  |
| 1. Prior audit results
 |  | 10 |  |  |
| **Total Other Risk Score** |  |
| **Overall Risk Score** |  |