|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Exhibit CS3-3**  **Risk Factor Approach – Weighting and Scoring** | | | | |
| **Risk Factor** | **Score** | **X Weight** | **Weighted Score** |  |
| **External Risk Factors** |  | 10 |  |  |
| 1. Assets at Risk |  | 10 |  |  |
| 1. Visibility |  | 10 |  |  |
| 1. Complexity |  | 10 |  |  |
| 1. Size of Process/Operation |  | 10 |  |  |
| 1. Legal/Regulatory/External Requirements |  | 10 |  |  |
| **Total External Risk Score** | | | |  |
| **Internal Risk Factors** |  |  |  |  |
| 1. Internal Control Stability |  | 10 |  |  |
| 1. Internal Control Effectiveness |  | 10 |  |  |
| 1. Significant Changes in Business Operations, Process, Personnel, or Technology |  | 10 |  |  |
| **Total Internal Risk Score** | | | |  |
| **Other Factors** |  |  |  |  |
| 1. Management condition or concern |  | 10 |  |  |
| 1. Prior audit results |  | 10 |  |  |
| **Total Other Risk Score** | | | |  |
| **Overall Risk Score** | | | |  |